

Final OSED for Conflicting ATC Clearances and Conformance Monitoring Alerts for Controllers

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Abstract

This document is the final Operational Services and Environment Description (OSED) which details the Operational Improvement (OI) **AO-0104-A** Airport Safety Nets for Controllers in Step 1. The OI falls under the Operational Focus Area (OFA) 01.02.01 Airport Safety Nets and focuses on **SESAR Solution 2** which details the new functions:

- Conflicting ATC Clearances (CATC)
- Conformance Monitoring Alerts for Controllers (CMAC)

Following the widespread P06.07.01 validation programme of Real Time Simulations and a Live trial the Airport Safety Nets Solution #02 is considered to have **achieved V3 validation status.**

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Executive summary

123 This document is the Final Operational Services and Environment Description (OSED) which details 124 the Operational Improvement (OI) AO-0104-A Airport Safety Nets for Controllers in Step 1. The OI 125 falls under the Operational Focus Area (OFA) 01.02.01 Airport Safety Nets and focuses on SESAR 126 Solution 2 which details the new functions: Conflicting ATC Clearances (CATC) and Conformance 127 Monitoring Alerts for Controllers (CMAC).

128 This OSED defines the operational services, environments, operating methods, use cases and 129 requirements for the SESAR operational concept elements mentioned above.

The detection of CATC and CMAC alerts situations shall be applied to all mobiles operating on the manoeuvring area and parts of the apron area and is a complement to the A-SMGCS Runway Monitoring and Conflict Alerting (RMCA) function currently in operation in many European airports. It provides an early detection of situations that if not corrected would end up in hazardous situations that would be detected in turn by the Advanced Surface Movement Guidance and Control Systems (A-SMGCS) RMCA.

136 The functions CATC and CMAC are support tools for the Tower Controller and are operated by the 137 ATC system based on the knowledge of data such as the clearances given to aircraft or vehicles 138 (mobiles) by the Tower Controller, the assigned runway, route and holding point.

Working procedures for the Tower Controllers shall be adapted to ensure that all relevant clearances given to mobiles are input into the system by the Tower Controller. The Tower Controller should therefore be provided with a Human Machine Interface (HMI) to inform the system of the clearances given to mobiles (e.g. Electronic Flight Strips (EFS) or input of clearances via the radar/track label). The HMI should also be capable of displaying Alert messages to the Tower Controllers for the CATC and CMAC situations detected by the Air Traffic Control (ATC) system.

145 It is important to note that the term 'Conflicting' in the title CATC refers to the fact that it is not normal 146 practice for a Controller to give certain clearances at the same time, it does not mean that the 147 aircraft/vehicles have ended up in conflict with each other.

Previous European studies have identified that the integration of ATC systems such as A-SMGCS and EFS makes it possible to detect when mobiles are not behaving in the manner that the Controller is expecting them to. Existing alerting tools generally use just the surveillance data from the A-SMGCS, and whilst this is a useful asset to the Controller, it normally provides an alert at the last minute when the Controller and Flight Crew have to react quickly to avoid an incident or collison.

The integration of data from the EFS will correlate the Controller's intentions and flight plan details with the position and speed of the aircraft and alert when any deviation from local rules and procedures is detected. Validation exercises (several Real Time Simulations and a Live Trial) have shown that many of these alerts can be triggered before any imminent danger is reached which could lead to a large reduction in runway incursions and taxiway incidents in the future. As a conclusion of the validation programme, the Airport Safety Nets Solution #02 is considered to have achieved V3 validation status.

The new alerts detailed in this OSED are not meant to replace the existing RMCA but are designed to
 predict potential incidents and provide alerts before the RMCA triggers allowing the Controller more
 time to resolve the potential incident.

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163 **1 Introduction**

164 **1.1 Purpose of the document**

165 The Operational Service and Environment Definition (OSED) document describes the operational 166 concept defined in the Detailed Operational Description (DOD) [1] in the scope of its Operational 167 Focus Area (OFA).

168 It defines the operational services, their environment, scenarios and use cases and requirements.

169 The OSED is used as the basis for assessing and establishing operational, safety, performance and 170 interoperability requirements for the related systems further detailed in the Safety and Performance 171 Requirements (SPR) document. The OSED identifies the operational services supported by several 172 entities within the ATM community and includes the operational expectations of the related systems.

173 This OSED is a top-down refinement of the P06.02 DOD [1] produced by the federating OPS P06.02

174 project and the P06.07.01 Operational Concept Document (OCD) [16]. It also contains additional 175 information which should be consolidated back into the higher level SESAR concepts using a "bottom 176 up" approach.

177 The figure below presents the location of the OSED within the hierarchy of SESAR concept 178 documents, together with the SESAR Work Package or Project responsible for their maintenance.

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Figure 1: OSED document with regards to other SESAR deliverables

- 182 This OSED is an updated version of the P06.07.01 D28 OSED [17] and has been produced taking 183 into account experience and results gained in the following SESAR validations:
- V2 trials EXE-06.07.01-VP-437 for "Conflicting ATC Clearances" performed by EUROCONTROL from 18th to 21st of October 2011 [15].
- V2 trials EXE-06.07.01-VP-537 for "Conformance Monitoring for Controllers" performed by EUROCONTROL from 22nd to 26th of October 2012 [6].
- V3 trials EXE-06.07.01-VP-438 on "Conflicting ATC Clearances" performed by DLR/DFS from 26th to 30th of November 2012 [5].
- The results of two Release 3 P06.03.02 validations (614[7] and 652[8]).
- V3 Release 5 trials EXE-06.03.01-VP-679 (DFS/Frequentis), VP-699 (DSNA), VP-719 (ENAV), VP-758 (ENAIRE) and VP761 (EUROCONTROL) [9].
- Following the validation programme of Real Time Simulations and a Live trial the Airport Safety NetsSolution #02 is considered to have achieved V3 validation status.

195 **1.2 Scope**

This OSED details the **Operational Improvement (OI) AO-0104-A** Airport Safety Nets for Controllers in Step 1. The OI falls under the Operational Focus Area (OFA) 01.02.01 Airport Safety Nets and focuses on **SESAR Solution 2** which details the new functions:

- 199 Conflicting ATC Clearances (CATC)
- Conformance Monitoring Alerts for Controllers (CMAC)
- 201 The following functions are detailed in separate documents:
- Alerts for Vehicle Drivers (AVDR) in OSED for AVDR [10]
- The detection of Conformance Monitoring Alerts for Pilots (CMAP) in OSED for CMAP [11]
- 204

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205 Note: The images used in this document are taken from the EUROCONTROL ITWP demonstrator 206 and show generic situations. They generally symbolize aircraft whereas some situations can be valid 207 for vehicles too. Moreover, the concept does not require that the HMI displays aircraft with their shape 208 and orientation as illustrated in the images.

209 1.3 Intended readership

- 210 The main audience for this OSED is:
- Partners contributing to tasks within the 06.07.01 using the OSED as input, e.g. SPR for
 Conflicting ATC Clearances and Conformance Monitoring Alerts for Controllers.
- The other SWP 06.07 projects, 06.07.02 and 06.07.03 that are not directly affected by the scope of this project but are interested in what is being developed in the other surface management projects and how the OSED was developed.

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- The project 06.09.02 that developed the "A-CWP", future Controller Working Position Requirements.
- The project 06.03.01 that performed integrated validation of concepts developed in SWP 06.07, SWP06.08 and SWP06.09.
- The technical projects 12.03.02 and 12.05.04 from WP12 developing the prototypes for 06.07.01 validation.
- The federating project 06.02 to maintain a co-ordination with the development of the DOD.
- WP16 R&D transversal areas for Safety.
- 224

1.4 Structure of the document

- 226 The structure of the document is as follows:
- §1 introduces the document.
- §2 addresses what is to be developed and provides the traceability to the relevant DOD. It details in simple terms and plain language the operational concept and scope.
- §3 describes the Operational Services and method identified by the project. For every operational service, the future operating principles of the concept, along with the expected benefits, assumptions, constraints, actors and ATM services are documented.
- §4 describes the Environment for the Operational Services described above, in order to get knowledge of the fundamental operational and technical characteristics that govern ATM, Communication, Navigation and Surveillance (CNS) performance and safety.
- §5 outlines the key Use Cases, with details of the Operational service and process and subprocess interactions.
- §6 defines the Requirements (Operational, Functional and Human Machine Interface (HMI), Information exchange requirements).
- §7 provides a list of the reference and applicable documents.
- 241

242 1.5 Background

Runway incursions are still occurring almost on a daily basis within the ECAC region. In addition to
 runway incursions a significant number of incidents / accidents occur on taxiways and apron areas.
 International organisations such as ICAO, EUROCONTROL and European Commission (DG TREN
 now part of DG MOVE) have run dedicated programmes for the prevention of ground accidents.

- ICAO SMGCS Manual (Doc 9476) describes how traffic should be controlled on the surface of anairport, based on the principle of "see and be seen".
- ICAO A-SMGCS Manual (Doc.9830), EUROCAE (Doc ED-87C) and EUROCONTROL A-SMGCS
 Project have established the A-SMGCS Services: Surveillance and Airport Safety Support (RMCA).

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The European Commission (DG TREN) has also initiated major R&D projects (NUP-2, BETA, EMMA, EMMA-2) dedicated to the future evolutions of A-SMGCS.

The current A-SMGCS RMCA system, which provides an alerting service for runway conflicts, has a limited scope as it uses only surveillance data; warnings are given to ATC only with a short timeahead before a potential collision on active runway(s). They also suffer from performance limitations due to the technology employed.

Further improvements are therefore needed to broaden the scope of applicability to the whole airport movement area (to fulfil the ICAO A-SMGCS manual requirements), to permit an earlier detection of hazardous situations to eventually enhance the performance of the existing safety nets.

EUROCONTROL has conducted studies on safety nets including the Integrated Tower Working Position (ITWP) project, and the results of this project were used as a baseline to continue the development and validation of CATC and CMAC alerts.

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264 **1.6 Glossary of terms**

ALARM ALERT - is used to inform the controller that a critical situation is developing which needs
 immediate action (*Definition: Created for this OSED*).

ALERT - An indication of an existing or pending situation during aerodrome operations, or an
 indication of abnormal A-SMGCS operation, that requires attention/action. (*Definition: ICAO-A-SMGCS Manual 9830*).

ALERT WINDOW – is a window on the HMI that is used to indicate all currently triggered alerts
 (Definition: Created for this OSED).

COOPERATIVE MOBILE - Mobile, which is equipped with systems capable of automatically and
 continuously providing information including its identity to the A-SMGCS (*Definition: EUROCONTROL A-SMGCS Specification*).

ELECTRONIC FLIGHT STRIPS (EFS) – Throughout this document the term EFS is used generically
 as the means to digitally input the clearances into the ATC System. Although EFS are used at many
 airports in Europe, Electronic Clearance inputs may also be performed using other ways such as via
 the radar label (*Definition: Created for this OSED*).

INFORMATION ALERT - is used to inform the controller that a situation which is potentially
 dangerous may occur, and he/she needs to be made aware of it. According to the situation, the
 controller receiving an INFORMAION alert may take a specific action to resolve the alert if needed
 (*Definition: Created for this OSED*).

MOBILE - A mobile is either, an aircraft, aircraft being towed or a vehicle (Definition:
 EUROCONTROL A-SMGCS Specification).

NON-COOPERATIVE MOBILE – A mobile which is not equipped with systems capable of
 automatically and continuously providing information including its identity to the A-SMGCS (*Definition: EUROCONTROL A-SMGCS Specification*).

RUNWAY INCURSION – Any occurrence at an aerodrome involving the incorrect presence of an
 aircraft, vehicle or person on the protected area of a surface designated for the landing and take-off of
 aircraft (*Definition: ICAO*).

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1.7 Acronyms and Terminology 302

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Term	Definition
A-CDM	Airport – Collaborative Decision Making
A-CWP	Advanced Controller Working Position
A/C	Aircraft
AIBT	Actual In-Block Time
ALDT	Actual Landing Time
AoR	Area of Responsibility
A-SMGCS	Advanced-Surface Movement Guidance and Control Systems
АТС	Air Traffic Control
АТСО	Air Traffic Control Officer
ATIS	Automatic Terminal Information Service
АТМ	Air Traffic Management
ATS	Air Traffic Service
AU	Airspace User
ВЕТА	Operational Benefit Evaluation by Testing an A-SMGCS
САТС	Conflicting ATC Clearances
СМАС	Conformance Monitoring Alerts for Controllers
СМАР	Conformance Monitoring Alerts for Pilots
СМР	Controller Working Position
DG MOVE	Directorate-General for Mobility and Transport
DG TREN	Directorate-General for Transport and Energy
DOD	Detailed Operating Description (document)
EFS	Electronic Flight Strips
ELDT	Estimated Landing Time
ЕММА	European Airport Movement Management by A-SMGCS
FDP	Flight Data Processing system

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Term	Definition					
GND	Tower Ground Controller					
нмі	Human Machine Interface					
HP	Holding Point					
ICAO	International Civil Aviation Organisation					
ITWP	Integrated Tower Working Position					
КРА	Key Performance Area					
LAHSO	Land And Hold Short Operation					
LVP	Low Visibility Procedures					
METAR	Meteorological Aerodrome Report					
ΝΟΤΑΜ	Notice to Airmen					
NUP-2	North European ADS-B Network Update Programme					
OFA	Operational Focus Area					
OI	Operational Improvement					
OSED	Operational Services and Environment Description (document)	/				
RMCA	Runway Monitoring and Conflict Alerting					
RPA	Runway Protected Area					
R&D	Research & Development					
R/T	Radio Telephony					
RWY	Runway					
SESAR	Single European Sky ATM Research Programme					
SJU	SESAR Joint Undertaking					
SPR	Safety and Performance Requirements (document)					
SSR	Secondary Surveillance Radar					
SWP	Sub-work Package					
товт	Target Off Block Time					
TSAT	Target Start Up Approval Time					
ттот	Target Take Off Time					

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305 2 Summary of Operational Concept from DOD

306 2.1 Mapping tables

- This section contains the link with the relevant DOD [3] scenarios and use cases, environment,
 processes and services relevant for this particular OSED.
- 309The following tables are coherent with the related DOD Ops 06.02: Airport Detailed Operational310Description.
- Table 1 lists the Operational Improvement steps (Ols from the definition phase), within the associated
 Operational Focus Area addressed by the OSED.
- 313

Relevant OI Steps ref. (coming from the Integrated Roadmap)	Operational Focus Area name / identifier	Step	Master or Contributing (M or C)	Contribution to the Ols short description
AO-0104-A	OFA01.02.01 Airport safety nets	Step 1	Μ	The system detects Conflicting ATC Clearances during runway operations, and non-conformance to procedures or clearances for traffic on runways, taxiways and in the apron/stand/gate area. Appropriate alerts are provided to controllers.

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Table 1: List of relevant OIs within the OFA

315 <u>Table 2 Table 2</u> identifies the link with the applicable scenarios and use cases of the DOD.

316

Scenario identification	Use Case Identification	Reference to DOD section where it is described	
Taxi In	General (UC 6 21)	4.2.5.2.3	
Taxi In	Deviation from Taxi route (UC 6 28)	4.2.5.2.3	
Taxi In	Holding position overrun (UC 6 30)	4.2.5.2.3	
Pushback	General Procedures (UC 6 76)	4.2.7.2.1	
Taxi Out	General Procedures (UC 6 79)	4.2.7.2.1.1	
Taxi Out	Resolve deviation from taxi route (UC 6 28)	4.2.7.2.1.1	

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Scenario identification	Use Case Identification	Reference to DOD section where it is described
Take Off	General Procedures (UC 6 86)	4.2.7.2.1.2

Table 2: List of relevant DOD Scenarios and Use Cases

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<u>Fable 3</u> Table 3 identifies the link with	 Formatted: Font: 10 pt, Not Italic, Font color: Auto		
Operational Environment	Class of environment	Reference to DOD section where it is described	
Network Function	1: Intercontinental Hub	3.1.1.1	Formatted: Spanish (International Sort)
	2: European Hub		
	3: Primary Node		
	4: Secondary Node		
Layout & Basic Operational Criteria	1: Multiple Independent Runways, complex surface layout	3.1.1.2	
	2: Multiple Dependent Runways, complex surface layout		
	3: Single Runway, complex surface layout		
	4. Multiple Independent Runways, non- complex surface layout		
	5: Multiple Dependent Runways, non- complex surface layout		
	6: Single Runway, non-complex surface layout		
Capacity Utilisation	1: Highly utilised airports/runways, traffic mix of heavy, medium and light aircraft. More than 90% load during 3 or more peak periods a day.	3.1.1.3	
	2: Highly utilised airports/runways, homogeneous traffic (dominant heavy or medium or light). More than 90% load during 3 or more peak periods a day		
and fina manufactor	3: Normally utilised airports/runways. 70 - 90% load during 1 or 2 peak periods a		



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Operational Environment	Class of environment	Reference to DOD section where it is described	
	day 4: Low utilised airports/runways less than 70% load during peak periods		

321

Table 3: List of relevant DOD Environments

322

323 <u>Table 4 Table 4</u> identifies the link with the applicable Operational Processes and Services defined in
 324 the DOD.

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DOD Process / Service Title	Process/ Service identification	Process/ Service short description	Reference to DOD section where it is described
Manage Safety at Airport – (Perform Conformance Monitoring)	Monitor Airport– related Conformance	The system detects any non- conformance to procedures or clearances for traffic on airport surface.	5.2.4
Manage Safety at Airport - (Perform Conformance Monitoring)	Manage Airport Conformance Alert	Do everything which is necessary to cancel a non- conformance alert.	5.2.4

326

Table 4: List of the relevant DOD Processes and Services

327 <u>Table 5Table 5</u> summarizes the Requirements including Performance (KPA related) requirements 328 relevant of the OSED. This table supports defining the performance objectives in the scope of the 329 addressed OFA. The DOD performance requirements are structured to respond to Key Performance 330 Indicators (PI) targets / decomposed PIs, so this table will support traceability to the performance 331 framework.

332

DOD Requirement Identification	DOD requirement title	Reference to DOD section where it is described	
REQ-06.02-DOD-6200.0003	The Tower Runway Controller and Tower Ground Controller shall be able to detect conflicting ATC clearances during operations and non- conformance to procedures or clearances for traffic in their area of responsibility.	6.2	

333

Table 5: List of the relevant DOD Requirements

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335 2.2 Operational Concept Description

The ATC system detects CATC e.g. Clear to Land versus Clear to Line-Up on the same runway and prevents incursions involving mobiles (both aircraft and vehicles; stationary traffic is included as well) on runways. Appropriate alerts are provided to controllers only.

Alerts are also generated when a mobile deviates from its assigned 3D-trajectory (the two dimensions
 on airport surface and the associated time dimension); i.e. does not adhere to the
 apron/taxiway/runway routing assigned to it. This category includes situations such as:

- Non-compliance to the ATC instructions by the Flight Crew and vehicle drivers in the proximity of active runways, e.g. aircraft/vehicle do not stop at the runway holding point.
- Where a communication misunderstanding occurs between what is meant by the instructions of the controller and what is interpreted by the mobile operator (e.g. as a result of communication break-down, through say callsign / conditional clearances confusion, incorrect/missed read-backs, poor phraseology, lack of radio communications).
- The implementation of many of the alerts defined in this document will require the A-SMGCS to be equipped with the Routing Service. The Routing function has been developed and Validated to V3 level by P06.07.02 (OFA04.02.01) and is detailed in SESAR Solution #22.
- 351 This category also covers deviations from standards operating procedures and practices by mobiles, 352 such as aircraft taxiing with extreme taxi speed that can indicate for example intention to take-off from 353 the taxiway.
- In general, the causal factors that create this category of "potentially hazardous situation" are largely
 expected to be due to mobile operator error.
- Non-conformance to ATC clearances by the pilots and vehicle drivers (whatever the cause is, e.g.
 technical, operational) can be identified amongst the precursors of runway incursions.
- 358

359 2.3 Processes and Services (P&S)

360 2.3.1 "Taxi-out and Take-off" process

Figure 2 Figure 2 represents the high level operational activities of the "Taxi-out and Take-off" operations as described in the "Departure" scenario.

The high level process model tries to synthesize all recurrent activities that are performed by all involved stakeholders during "Taxi-out and Take-off operations. The process covered by the current OSED is identified as "Manage Alert in Taxi-out and Take-off" in the ATS related activities (as shown in Figure 2Figure 2 taken from the European ATM Masterplan architecture portal).

367 2.3.2 "Landing and Taxi-in" process

- 368 Figure 3 Figure 3 represents the high level activities of the "Landing and Taxi-in" operations as described in the "Arrival" scenario.
- The high level process model tries to synthesize all recurrent activities that are performed by involved stakeholders during "Landing and Taxi-in" operations. The process covered by the current OSED is identified as "Manage Alert in Landing and Taxi-in" in the ATS related activities (as shown in the Figure 3Figure 3 taken from the European ATM Masterplan architecture portal).
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Figure 2: Taxi-out and Take-off high level process.

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380 **2.3.3 Services**

As there are no services listed in the 06.02 DOD, the two following services have been defined by the
 OFA 01.02.01:

- **Detection of Conflicting ATC Clearances.**
- Detection of Non Conformance to ATC instructions and/or procedures.

Note: These services apply to both of the Processes ("Landing and Taxi-in" and "Taxi-out and Takeoff") described above.

- 387 2.3.4 Mapping to Service portfolio and Systems
- 388 No services listed in the 06.02 DOD.

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389 3 Detailed Operating Method

390 3.1 Previous Operating Method

Currently the principal tool available to the controller is the A-SMGCS RMCA which uses A-SMGCS
 Surveillance data to detect dangerous situations within the Runway Protection Area. Detections and
 subsequent alerts to Controllers are provided at the very last moment and require immediate
 Controller reaction.

The main draw back with the RMCA is that it does not know the clearances given by the controllers; this leads to a high level of tuning being required to obtain an effective detection and to avoid nuisance alerts. This is a very critical constraint for putting the safety net in operation and is a factor for its slow implementation within Europe.

- 399 RMCA provides two stages of alert to the controller:
- Stage 1- INFORMATION: An INFORMATION alert is displayed usually in Yellow colour on the controller HMI. For example an INFORMATION alert is displayed when a departing and an arriving aircraft are on the same runway and the arrival aircraft is less than 30 seconds flying time from the threshold in non-LVP and 45 seconds in LVP conditions (30/45 seconds are values subject to local implementation).
- Stage 2- ALARM: An ALARM alert is displayed usually in Red colour on the controller HMI.
 For example an ALARM alert is displayed when a departing and an arriving aircraft are on the same runway and the arrival aircraft is less than 15 seconds flying time from the threshold in non-LVP and 30 seconds in LVP conditions (15/30 seconds are values subject to local implementation).
- 410 The baseline OIs from Implementation Package (IP) 1 are:
- AO-0101 Reduced Risk of Runway Incursions through Improved Procedures and Best
 Practices on the Ground.
- **AO-0102** Automated Alerting of Controller in Case of Runway Incursion or Intrusion into Restricted Areas.
- **AO-0201** Enhanced Ground Controller Situational Awareness in all Weather Conditions.
- 416 **AO-0202** Detection of Foreign Object Debris on the Airport Surface.

417 **3.1.1 Conflicting ATC Clearances (CATC)**

418 Many ATC Towers are now equipped with Electronic Flight Strips (EFS) where Controllers' clearances 419 are input on the EFS and therefore known by the system. However, each input and EFS is treated 420 individually and no cross check is performed with the clearances input on other EFS to see if the 421 given input goes against the rules /procedures at the concerned airport, which could lead to a 422 hazardous situation/conflicting situation.

423 3.1.2 Conformance Monitoring Alerts for Controllers (CMAC)

424 The Controller relies mainly on visual observation either out of the window or using the A-SMGCS to 425 detect when a mobile is not conforming to instructions or procedures (e.g. not following the correct 426 taxiway route or not stopping at the holding point). The A-SMGCS RMCA also provides alerts based 427 on the position of mobiles within the runway protection area or in restricted/closed areas, but doesn't 428 take into account instructions or clearances given by the Controller. Therefore, many incidents are 429 not detected or detected when it is too late often leading to a conflict, infringement or collision.

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430 **3.2 New SESAR Operating Method**

431 **3.2.1 Prioritisation of Alerts**

432 The new CATC and CMAC alerts described in the following paragraphs are not intended to 433 replace RMCA, but to complement RMCA by predicting incidents before the RMCA Alerts 434 trigger. Therefore, the RMCA Alerts have a higher priority than other alerts.

In certain situations it will be possible for more than one alert to be triggered for the same mobile e.g.
an aircraft LINING UP with no clearance will trigger an alert (CMAC - RWY INCURSION) with an
aircraft on short final approach (RMCA).

438 It is also evident that it will be impossible for some alerts to be triggered at the same moment for the
439 same mobile e.g. a NO PUSH BACK alert will not be triggered for an aircraft on final approach with a
440 NO LANDING alert.

While the titles of all alerts shall be displayed in the optional ALERT window, it is recommended that
only one alert title shall be displayed in the radar/track label and/or the EFS of the concerned mobile.
This alert title shall be the one having the highest priority according to requirements defined in section
6.

445 3.2.2 Protected and Restricted Areas

Many of the alerts defined in the Airport Safety Support service require that a protected area around
the runways and restricted areas is defined, and this area will be dependent on different weather
conditions (e.g. Low Visibility Procedures (LVP) or Non LVP).

449 As different rules and alerts have been defined on the movement area the area around the runway 450 will be referred to as the **Runway Protected Area (RPA)** and other areas as **Restricted Areas**. The 451 basic rule is that a mobile, whether it is cooperative or non-cooperative, must have a clearance to 452 enter one of these areas, otherwise it is considered to be an Intruder.

453 Runway Protected Area (RPA)

The dimensions of the RPA may vary depending on airport/runway layout and ATC procedures (e.g. LVP).

- 456 The RPA is composed of two boundaries:
- 457 A ground boundary to detect the mobiles on the surface.
- 458 An air boundary to detect airborne aircraft.
- 459 Around the same runway several "layers" of protected areas may be defined (e.g. LVP or Non-LVP),
 460 and each one will have defined corresponding alert situations.

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Figure 4: Example of an RPA defined at a major airport

465 Ground boundary

466 The length and width of the ground boundary must at least include the runway and can also contain 467 ILS restricted areas around the localiser and glide path equipment. The width shall be defined 468 according to different meteorological conditions, e.g. Non-LVP, LVP.

As an example based on current ILS holding positions: 469

- 470 In Non-LVP : ground boundary defined by CAT I holding position (normally extends 90 metres from Runway centreline). 471
- In LVP : ground boundary defined by CAT II/III holding position (normally extends 150 metres 472 from Runway centreline). 473
- 474 This ground boundary will be used for both INFORMATION and ALARM stages.



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Figure 5: Example of RPA CAT I Ground boundary

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- 478 Subject to further development, if the runway protection is ensured by an algorithm which could 479 predict that a mobile is able or not to stop before entering the protected area, i.e. the ground
- 480 boundary, an alert could be generated before the mobile crosses the boundary.
- 481 Such algorithms, based on the speed and position of a mobile, may already exist but they have to be 482 evaluated.

483 • Air boundary

- The air boundary is defined as a flight time to the runway threshold and would take into account the two stages of alert, as well as the meteorological conditions:
- 486 Non-LVP : INFORMATION around T1 = 30", ALARM around T2 = 15"
- 487 LVP : INFORMATION around T1 = 45", ALARM around T2 = 30"
- These times of the two alert stages outlined above should be configurable, depending uponoptimisation at the aerodrome.



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Figure 6: Example of RPA Air boundary for Information Alert.

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494 3.2.2.1 Restricted Areas

An ALARM shall be provided to the controller when a mobile enters a restricted area, or when the A-SMGCS has a reliable prediction algorithm, when the mobile is expected to enter based on its trajectory and speed.

498 Local procedures may define some areas where certain mobiles are permitted to enter without an 499 alert being raised. When closed, runways may also be considered as restricted areas, however, a 500 runway closed for operations such as snow clearing may be accessible at certain points for aircraft to 501 cross.

502 The restricted areas and their associated protections used to detect incursions should be defined 503 locally with respect to each airport particularity. However, since restricted area incursions deal only 504 with ground traffic, the definition of the corresponding protected areas is easier than for runways. The 505 restricted area will be composed of only a ground boundary.

506

507 When the Routing service is implemented and the cleared route of the mobile is known, then an 508 INFORMATION alert will be triggered predicting that the mobile will pass through the area and an 509 ALARM will be provided to the controller when the mobile enters a restricted area. *Note: This alert is* 510 *detailed in the CMAC section 3.2.4.15.*

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511 3.2.3 Conflicting ATC Clearances (CATC)

512 It is important to note that the term 'Conflicting' in the title refers to the fact that certain 513 clearances input on the EFS at the same time by an ATCO do not comply with the local ATC 514 rules/procedures, it does not mean that the aircraft/vehicles have ended up in conflict with

- 515 each other.
- 516 The detection of CATC is to provide an early prediction of situations that if not corrected would end up 517 in hazardous situations that would be detected in turn by the RMCA if in operation.
- 518 The detection of CATC will be performed by the ATC system and depending on the situation, some or 519 all of the following data will need to be known by the ATC system,
- The clearances given to the mobiles concerned.
- The assigned runway.
- The assigned holding point.
- The route of the mobile/s.
- The position of the mobile/s using A-SMGCS Surveillance data correlated to flight plans on the mobiles concerned.

526 The Controller should therefore be provided with an HMI to input into the ATC system when 527 clearances are given to aircraft or vehicles. The HMI should also be capable of displaying alert 528 messages (the choice between INFORMATION and ALARM is a local decision) to the controllers for 529 the CATC detected by the ATC system and also the identity of the mobiles involved.

530 Working procedures for the controllers shall be adapted to ensure that all clearances given to aircraft 531 or vehicles are input in the ATC system by the controller in a timely manner (click/input at the same 532 time as the R/T clearance is given, without necessarily waiting for read back).

- 533 Any clearance input in the ATC system will be a triggering event for the ATC system to detect any 534 new CATC.
- 535 Different types of CATC are identified and shall be implemented. Some of them are only based on the 536 controller input; others are in addition using other data such as A-SMGCS Surveillance data to 537 confirm that an abnormal situation is detected.
- 538 An alert shall be automatically triggered when conditions matching those described in paragraphs 539 3.2.3.1 to 3.2.3.16 are detected by the ATC system. There are different ways of indicating an actual 540 or possible CATC to a Controller. The following examples detail three <u>possible</u> implementation 541 solutions using a combination of a prediction indicator, a pop-up window, alerts displayed on the HMI 542 and in the alert window. 543

544 **1. CATC with a prediction indicator.**

- 545The HMI can indicate to the ATCO that the clearance if selected will generate an alert. In Figure546<u>7Figure 7</u> the potential CATC is indicated by the appearance of a small orange line on the side of547the clearance box (LND being the abbreviation for Cleared to Land and LUP being Line Up).
- 548 The orange line will disappear when the mobiles are no longer in a situation where a CATC alert 549 is possible.
- If the ATCO selects the clearance with the orange line showing the system can either directly
 display on the HMI the mobiles that are affected and/or it can display a pop-up window that asks
 the ATCO to confirm the following-CANCEL or ACCEPT (see Figure 8Figure 8).
- 553

CANCEL – this will cancel the last input clearance and remove the pop-up window.

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Note: It is expected that this will be the normal procedure and the ATCO will then inform the pilot by R/T that the clearance is cancelled.

ACCEPT – this will close the pop-up window and allow the last input clearance to be accepted by the system. It will be a local implementation issue whether the 2 mobiles are flagged to remind the ATCO of the situation.

Note: ACCEPT - will be in specific circumstances only where the ATCO deems it safe to do so. The act of accepting will not prevent other alerts being triggered after the event such as A-SMGCS RMCA. The ATCO inputs will also be recorded so that they can be accessed for replay in case of an actual incident occurring.



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Figure 7: Indication (orange lines) of potential CATCs on the EFS

571 2. CATC without prediction indicator.

572 This option is as option 1 but does not include the orange line in the clearance box, so the first 573 warning of a CATC will be when the ATCO tries to enter the second clearance and a pop-up window 574 is displayed on the screen (see <u>Figure 8</u>Figure 8). The ATCO will then have the same option as 575 above CANCEL or ACCEPT.

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Figure 8: Indication of the CATC in a pop-up window (CONFIRM CLEARANCE)

580 3. CATC displayed in Alert Window.

581 This option is as option 1 but with no pop up window, and when the second clearance is input it is 582 directly accepted by the system and the HMI displays the alert in the alert window and on the mobiles 583 affected. The ATCO will have to undo the clearance to cancel the alert. 584

The method chosen will be a local implementation decision, but the first option is considered favourable due to the fact that the HMI shows any potential CATC without the ATCO needing to make any input therefore less workload is involved than having to make an input and then undo the input.

590 The different situations where Conflicting ATC Clearances can occur are described in the following 591 images along with the data required to trigger the alert, the triggering conditions and exemptions 592 where applicable. Important Note: In each case it is deemed that the first clearance in the 593 heading title is the one that has been input by the ATCO first and the second clearance 594 triggers the alert.

Note: The following screen shots show runway layouts at different airports, however, the situations
 shown are based on generic examples and do not necessarily reflect procedures currently in use at
 these airports.

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601 3.2.3.1 Line Up vs Line Up

602 Data required – Clearances, Assigned Runway, Holding Point and Surveillance.

603 Alert triggered -

- If the AZA654 is given Line Up and the IBE987 is given Line Up from the same holding point on the same runway.
- 606 2. If the AZA654 is given Line Up and the AFR123 is given Line Up from the holding point directly opposite on the same runway.
- 608 3. If the AZA654 or AFR123 or DLH321 is given Line Up and the KLM789 is given Line Up from a holding point at the opposite end of the same runway.

610



611

612 Exemptions to the rule -

- 613 If a conditional Line Up /Line Up in sequence is given then no alert is triggered in situation 1 and 2.
- No alert is triggered in situation 1 if multiple line up from the same holding point is authorised at the
 airport
- 616 At some airports Line Up vs Line Up maybe be permitted in certain weather conditions (Local Rule)

617 3.2.3.2 Line Up vs Cross or Enter

618 Data required – Clearances, Assigned Runway, Holding Point and Surveillance.

619 Alert triggered -

620 If the **IBE987** is given Line Up and the **CHECKER1** is given Cross or Enter from a holding point 621 directly opposite on the same runway.



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623 Exemptions to the rule -

624 No alert is triggered if the aircraft lining up has reached a position (local parameter) where it is 625 considered not to be an obstruction to the mobile crossing behind it or moving away from it.

626 3.2.3.3 Line Up vs Take Off

- 627 Data required Clearances, Assigned Runway, Holding Point and Surveillance.
- 628 Alert triggered -
- 629 If on the same runway, the **DLH321** is given Line Up from a holding point and the **AZA654** is given 630 Take Off from a position on the runway or from a holding point behind **DLH321**.



- 631
- If on the same runway, the IBE987 is given Line Up from a holding point and the KLM789 is given
 Take Off from a holding point at the opposite end of the runway



634

635 3.2.3.4 Line Up vs Land

636 **Data required –** Clearances, Assigned Runway, Holding Point and Surveillance.

637 Alert triggered -

- 638 If the **IBE987** is given Line Up and the **BAW654** is given cleared to land on the same runway
- 639 If the **KLM789** is given Line Up and the **BAW654** is given cleared to land on the same runway in the 640 opposite direction.



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643 Exemptions to the rule –

The surveillance function and holding point are used to determine whether **BAW654** has passed the assigned holding point of **IBE987** and if this is the case then no alert is triggered. This allows the ATCO to maintain a high runway throughput.

647 3.2.3.5 Cross or Enter vs Line Up

648 **Data required –** Clearances, Assigned Runway, Holding Point and Surveillance.

649 Alert triggered -

- 650 If the **CHECKER1** is given Cross or Enter and the **IBE987**, that has been cleared to line-up, is waiting
- at /or approaching a holding point directly opposite on the same runway.



652

653 Exemptions to the rule -

654 If the **CHECKER1** has entered the runway and has passed the position where the **IBE987** will line up 655 then no alert is triggered.

656 3.2.3.6 Cross or Enter vs Cross or Enter

657 **Data required –** Clearances, Assigned Runway, Holding Point and Surveillance.

658 Alert triggered -

659 If the **AZA654** (aircraft or vehicle) is given Cross or Enter and the **CHECKER1** (aircraft or vehicle) is 660 given Cross or Enter from a holding point directly opposite on the same runway.



- 661
- 662 Exemptions to the rule -
- 663 Surveillance is needed if Cross is given behind Enter to ensure that there is sufficient space for the 664 mobile to Cross.



665 No alert is triggered if both mobiles are vehicles.

666 3.2.3.7 Cross or Enter vs Take Off

667 **Data required** – Clearances, Assigned Runway, Holding Point, Surveillance and Route.

668 Alert triggered -

669 If the **CHECKER1** is given Cross or Enter and the **KLM789** is given take off (whilst either already 670 lined up or holding at the holding point) on the same runway.



672 Exemptions to the rule -

671

- The holding point and route are needed to determine if the position that the mobile **CHECKER1** will Cross or Enter is behind the take-off position of the **KLM789** in which case no alert is triggered.
- 675 In some situations controllers may give a crossing clearance and then transfer the mobile to the next 676 frequency before the crossing mobile has vacated the runway. In this case surveillance should be 677 used to determine the position of the mobile and maintain the CATC logic against an aircraft that is 678 ready for Take Off. The CATC would end when the position of the crossing traffic is detected as clear 679 of the runway and not when the transfer of control is made.

680 3.2.3.8 Cross or Enter vs Land

681 **Data required –** Clearances, Assigned Runway, Holding Point and Surveillance.

682 Alert triggered -

If the CHECKER1 is given Cross or Enter and the DLH123 (or IBE789) is given Cleared to Land on
 the same runway.



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688 Exemptions to the rule –

689 Surveillance will be used to determine if the CROSS/ENTER mobile has vacated the runway 690 protection area in which case no alert is triggered.

691 3.2.3.9 Take Off vs Line Up

692 Data required – Clearances, Assigned Runway, Surveillance and Holding Point.

693 Alert triggered -

- 694 If the **BAW456** is given Take Off and the **IBE987** (or **AFR123)** is given Line Up from a Holding Point 695 on the same runway.
- If the BAW456 is given Take Off and the KLM789 is given Line Up from a Holding Point on the same
 runway in the opposite direction.



699 Exemptions to the rule –

- Holding point is needed to determine whether the position of **IBE987 (or AFR123)** is behind the position of the **BAW456** (based on surveillance), in which case no alert is triggered.
- Surveillance is needed to determine whether BAW456 is airborne (positive climb), in which case no
 alert is triggered for KLM789.

704 3.2.3.10 Take Off vs Cross or Enter

705 Data required – Clearances, Assigned Runway, Holding Point, Surveillance and Route.

706 Alert triggered -

1707 If the **DLH321** is given Take Off and **CHECKER1** is given Cross or Enter from a Holding Point on the same runway.

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710 Exemptions to the rule –

The Holding Point and Route are needed to determine if the **DLH321** is given Take Off and **CHECKER1** is

712 given Cross or Enter from a Holding Point on the same runway but behind the **DLH321**, in this case 713 no alert would be triggered but jet blast will need to be taken into account.

714 3.2.3.11 Take Off vs Take Off

715 Data required – Clearances, Assigned Runway/s, Holding Point and Surveillance.

716 Alert triggered -

717 Single Runway

- 718 If the **IBE987** is given Take Off and the **BAW456** is given take off whilst lined up on the same runway.
- 719 If the **IBE987** is given Take Off and the **AFR123** is given take off whilst at a holding point on the same 720 runway.
- 1721 If the **IBE987** is given Take Off and the **KLM789** is given take off whilst at a holding point on the same runway in the opposite direction.



723

724 Exemptions to the rule –

Local procedures may permit BAW456 to be given take off before IBE987 is airborne in which case
 surveillance is needed to determine the position of the aircraft.

727

728 Crossing/Converging Runways

- 7291. If the IBE987 is given Take Off and the BAW456 is given take off from a runway that
intersects/crosses the runway that is being used by IBE987. When the aircraft ground
trajectories are converging an alert is triggered.
- If the BAW456 is given Take Off and the AFR123 is given take off from a runway where the climb out trajectory converges with the runway that is being used by BAW456.

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734

735

736 Exemptions to the rule -

- Local procedures may permit BAW456 to be given take off before IBE987 is airborne in which case
 surveillance is needed to determine the position of the aircraft.
- Local procedures may permit AFR123 to be given take off before BAW456 is airborne in which case
 surveillance is needed to determine the position of the aircraft.
- 741 Surveillance data is used to determine whether one of the two aircraft has already passed a point on 742 the runway that is considered as safe, after the crossing point of the runways, in which case no alert is 743 triggered.

744 3.2.3.12 Take Off vs Land

- 745 Data required Clearances, Assigned Runway/s, Holding Point and Surveillance.
- 746 Alert triggered -
- 747 Single Runway
- If the AFR123 is given Take Off from the holding point and the IBE789 is cleared to Land on the same runway.
- If the **DLH321** is given Take Off and is lined up on the runway and the **IBE789** is cleared to Land onthe same runway.
- 752



753

754 Exemptions to the rule –

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- Local procedures may allow the IBE789 to be given clearance to land if the DLH321 is a certain
- 756 distance into its take off run (and maybe at a certain speed as well) in which case surveillance is 757 needed to determine the position of the aircraft.
- 758
- 759 If the **IBE987** is given Take Off and the **AFR321** is cleared to Land on the same runway in the 760 opposite direction.



761 762

763 Crossing/Converging Runways

- If the BAW456 is given Take Off and is lined up on the runway and the KLM987 is cleared to Land on an intersecting/crossing runway.
- If the BAW456 is given Take Off and is lined up on the runway and the DLH123 is cleared to Land on a converging runway.



768

769

770 Exemptions to the rule -

- Local procedures may allow the KLM987 to be given clearance to land if the BAW456 is a certain
 distance into its take off run (and maybe at a certain speed as well), also if LAHSO (Land and Hold
- 773 Short Operation) are in use then an alert will not be triggered.



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- Local procedures may allow the **DLH123** to be given clearance to land if the **BAW456** is a certain
- 775 distance into its take off run (and maybe at a certain speed as well) in which case surveillance is
- needed to determine the position of the aircraft.

777 3.2.3.13 Land vs Line Up

- 778 **Data required –** Clearances, Assigned Runway, Holding Point and Surveillance.
- 779 Alert triggered –
- 780 If the AFR321 is given Cleared to Land and the IBE987 is given Line Up on the same runway.
- 1781 If the AFR321 is given Cleared to Land and the AZA654 is given Line Up on the same runway in the opposite direction.



784 Exemptions to the rule –

785 Surveillance and Holding Point are needed to determine if the position of the **IBE987** is lining up from 786 is behind the actual position of the **AFR321** in which case no alert is triggered. This allows the ATCO 787 to maintain a high runway throughput.

788 A conditional Line Up will not trigger an alert

789 Local procedures may permit the situation where the AFR321 has landed and is still on the runway 790 and is moving below a specified speed and is a certain distance from the AZA654 and the ATCO is 791 confident that the aircraft will vacate before the Line Up point of the AZA654. In this case 792 surveillance, holding point and route are needed to determine whether to trigger an alert or not.

793 3.2.3.14 Land vs Cross or Enter

- 794 **Data required –** Clearances, Assigned Runway, Holding Point, Surveillance and Route.
- 795 Alert triggered -
- 796 If the IBE789 is given Cleared to Land and the DLH123 is given Cross on the same runway



- 797
- 798 If the **KLM987** is given Cleared to Land and the **CHECKER1** is given Enter on the same runway.

799



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801 Exemptions to the rule -

800

Holding Point, Surveillance and Route are needed to determine if the position that the CHECKER1 is
 Crossing or Entering from is behind the actual position of the KLM987 in which case no alert is
 triggered. This allows the ATCO to maintain a high runway throughput.

 Local procedures may permit the situation where the IBE789 (or KLM987) has landed and is still on the runway and is moving below a specified speed and is a certain distance from the DLH123 (or CHECKER1) and the ATCO has instructed the IBE789 (or KLM987) to vacate at an exit before the crossing point of the DLH123 (or CHECKER1). In this case surveillance, holding point and route are needed to determine whether to trigger an alert or not.

810 3.2.3.15 Land vs Take Off

- 811 Data required Clearances, Assigned Runway/s, Holding Point and Surveillance.
- 812 Alert triggered -
- 813 Single Runway
- 814 If the **AZA456** is given Cleared to Land and the **IBE987** is given Cleared to Take Off on the same 815 runway.
- 816 If the AZA456 is given Cleared to Land and the KLM789 is given Cleared to Take Off on the same 817 runway in the opposite direction.



819

820 Crossing/Converging Runways

821 If the **KLM987** is given Cleared to Land and the **BAW456** is given Cleared to Take Off.

822 If the **DLH123** is given Cleared to Land and the **BAW456** is given Cleared to Take Off from a 823 converging runway (this alert is required in case the **DLH123** performs a missed approach and could 824 conflict with the departing **BAW456**.

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825

826 Exemptions to the rule -



828

829 Closely Spaced Parallel Runways

830 At certain airports with closely spaced parallel runways, local procedures may apply if the **EZY577L** is 831 given Cleared to Land and the **TAY123G** is given Cleared to Take Off from the adjacent runway (this

832 alert is required in case the EZY577L performs a missed approach it could conflict with the departing

833 TAY123G or the wake vortex from the EZY577L could interfere with the take-off run of the TAY123G.



834

835 Exemptions to the rule –

Local procedures may allow the TAY123G to be given clearance to take off if the EZY577L is at a
 certain position in which case surveillance is needed to determine the position of the aircraft.

838



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839 3.2.3.16 Land vs Land

840 Data required – Clearances, Assigned Runway/s, Holding Point and Surveillance.

841 Alert triggered -

842 Single Runway

843 If the AZA456 is given Cleared to Land and the IBE789 is given Cleared to Land on the same 844 runway.



845

846 If the KLM987 is given Cleared to Land and the DLH123 is given Cleared to Land on the same 847 runway in the opposite direction.

	DLH123 215 A002 1136 A321M
+	×.
KLM987 102 A002 T136 B738/M	

848

849 Exemptions to the rule –

- 850 Local procedures may allow multiple landing clearances to be given, this is often based on the
- 851 position of the aircraft and/or the weather conditions.

852

853 Crossing/Converging Runways

854 If both **KLM987** and **DLH123** are given cleared to land and have <u>converging air trajectories</u> (this 855 could be a local rule in case of both aircraft go around at the same time).

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856

857 If both KLM987 and DLH123 are given cleared to land and have crossing trajectories.



858

859 Exemptions to the rule –

- Local procedures may allow multiple landing clearances to be given; this is often based on the
 position of the aircraft and/or the weather conditions.
- 862 If LAHSO are in use then an alert will not be triggered in case of crossing trajectories.

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3.2.4 Conformance Monitoring Alerts for Controllers (CMAC)

The introduction of systems such as Electronic Flight Strips (EFS) means that the instructions given by the ATCO are now available electronically and can be integrated with other data such as flight plan, surveillance, routing, published rules and procedures. The integration of this data allows the system to monitor the information and when inconsistencies are detected, the ATCO can be alerted via the HMI and/or audibly with a buzzer. The main benefit of this is the **early detection** of Flight Crew / vehicle driver errors that, if not detected and resolved, might result in a hazardous situation.

872 The current A-SMGCS RMCA will still exist as the last minute warning system based on the 873 position of the mobiles. RMCA was considered as baseline in all validation activities.

- 874 When a hazardous situation is detected, the A-SMGCS will provide the controller with two types of 875 alerts, named 'INFORMATION' and 'ALARM'
- INFORMATION: When receiving an 'information alert', this means that a potential hazardous situation may occur. The tower controller will use his skill and backgrounds to decide if, with remaining possible actions, the situation can be saved without using a too restrictive procedure (e.g. go around). If successful, there will be no alarm; if not successful the alarm will be activated and be presented on the surveillance display.
- ALARM: When receiving an "alarm", it is said that a critical situation is developing and that an immediate action should be performed. An alarm will also trigger an audio warning (e.g. buzzer) in case the controller is not looking at the HMI at the time.

- Display of alerts will be subject to local agreements as there has been a divided opinion on when to show an ALARM to ATCOs, when an INFORMATION alert would suffice, in other words restrict the number of ALARM to a minimum so that when they are triggered ATCOs react with the urgency they warrant. Also, should a Runway Incursion alert always be an ALARM regardless of whether other traffic is present or not?
- The number of false or nuisance alerts must be kept to a minimum so that ATCOs do not become complacent and ignore them. An example could be at an airport with high intensity runway operations where arrivals are closely spaced and regularly receive a late landing clearance; there might not be a need to implement the No Landing Clearance alert.
- The question of where (which controller position) and when to display alerts also brings divided opinion, however, initial requirements have now been defined as guidance to implementation and it will be left to individual sites to define their own rules for this.
- It is not always possible to resolve the alert situation straight away, therefore, in the case of an ALARM ATCOs have requested the possibility to silence the warning buzzer once it has been activated so as not to continue to distract them or their colleagues. Similarly for an INFORMATION alert ATCOs requested the possibility to remove the alert from the EFS and the radar/track label but leave the alert showing in the alert window until it was resolved. This action helps to reduce clutter and distraction on the HMI.
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909 910 911

912

Figure 9: Conformance monitoring alerts – Ground functional architecture

- 913 3.2.4.1 Route Deviation Alert (Instruction)
- 914 **Data required / Prerequisite –** Mobile under control, Taxi Instruction Issued, Surveillance and 915 Cleared Route.
- 916 Recommended Text to be displayed on HMI = ROUTE DEV
- 917 Alert Type INFORMATION or ALARM (Local implementation decision e.g. depending on whether
 918 the aircraft is deviating within a specified distance and heading towards an active runway)
- 919 Alert trigger condition When the Mobile is detected deviating from the cleared taxi route on the 920 taxiway or crossing a runway.
- 921 **Alert cancelled -** When the mobile either re-joins the original taxi route or the ATCO issues new 922 instructions and updates the taxi route via the HMI.
- An example of a taxi route deviation is shown in the picture below; the Cleared taxi route is displayed
 for 10 seconds to show the ATCO the taxi route that the aircraft should have been following.
- 925 Where alert is displayed GND or RWY. Dependant on local procedures and the position of the 926 mobile. E.g. if the taxiing aircraft is close to the runway it could be shown on both GND and RWY 927 positions.

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928



929 930

931 3.2.4.2 No Push Back approval (Instruction)

- Data required / Prerequisite Mobile under control, Push back Instruction NOT Issued, Surveillance
 and Stand information from the EFS.
- Recommended Text to be displayed on HMI NO CLEARANCE (Local Implementation option NO PUSH CLR).

936 Alert Type – INFORMATION.

- Alert trigger condition When the aircraft is moving from a stand that requires a Push back and no
 Push back instruction has been input for that aircraft.
- Alert cancelled When the ATCO inputs "Push Back" Instruction on the EFS or the aircraft returns to
 stand.
- 941 **Where alert is displayed** The alert is likely to be displayed only on the Tower Ground Controller's 942 (or Apron Manager's) HMI depending on the local AORs.

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943 944

945 3.2.4.3 No Taxi approval (Instruction)

- 946 Data required / Prerequisite Mobile under control, Taxi Instruction NOT Issued, Surveillance
- 947 **Recommended Text to be displayed on HMI** NO CLEARANCE (Local Implementation option -948 NO TAXI CLR).

949 Alert Type - INFORMATION

950 Alert trigger conditions -

- When the aircraft is starting to taxi after its push-back or directly from a stand position where taxi is possible without push back.
- 953
 954
 2. When a mobile has been given instructions to stop at an intermediate point on the taxi route (e.g. hold short of taxiway bravo) and fails to adhere to the instruction.
- 955 Alert cancelled When the ATCO inputs "Taxi" Instruction on the EFS or the aircraft stops.
- Where alert is displayed The alert is likely to be displayed only on the Tower Ground Controller's
 (or Apron Manager's) HMI.

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959

960 3.2.4.4 Stationary (Instruction)

- 961 **Data required / Prerequisite –** Mobile under control, Surveillance and last instruction given to the 962 aircraft or vehicle.
- 963 **Recommended Text to be displayed on HMI** STATIONARY (Local Implementation option -964 STATIONARY RPA: see condition 2 below).
- 965 Alert Type INFORMATION or ALARM (local implementation decision) depending on position, 966 situation and other traffic.

967 Alert trigger conditions -

- 9681. The A-SMGCS detects if a mobile is given an instruction on the EFS (e.g. push back, taxi,
cross, enter, Line Up, take off) but doesn't move within a certain time frame (e.g. 90 seconds
for PUSH, TAXI, CROSS and ENTER, and 120 seconds for LINE UP and TAKE OFF). This
could indicate that the Flight Crew has forgotten about the instruction (recommendation =
INFORMATION).
- A mobile that has vacated a runway but has stopped within the runway protection area (e.g. for more than 15 seconds) and is a potential hazard to arriving or departing aircraft. This could indicate that the Flight Crew is unsure about their position or have a technical problem (recommendation =ALARM).
- 977
 3. An aircraft that was taxiing and stops for a specified time (local parameter) before getting to
 978
 979
 979 slow down/stop to give way to other mobiles and whilst queuing at the holding point they will
 980
 980
- 981 In the event of such an alert the ATCO will contact the Flight Crew to verify their intentions.
- 982 Alert cancelled When the aircraft is detected to be moving.
- 983 Where alert is displayed -
- 9841. Stationary after Push-Back Instruction: The alert is likely to be displayed only on the Tower985Ground Controller's HMI.

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- Stationary after Taxi Instruction: The alert is likely to be displayed only on the controller position that has the aircraft under control and it could be the Tower Ground Controller's HMI or the Tower Runway Controller's HMI.
- 989 3. Other cases: The alert is likely to be displayed only on the Tower Runway Controller's HMI.



990



991 992

993 3.2.4.5 No Contact (Instruction)

994 In most towers it is standard procedure for the Tower Runway Controller to make either an input on 995 the EFS or move the EFS to a different bay when an aircraft on final makes initial contact on the 996 frequency. Using system coordination between the Approach and the Tower, the EFS in the tower 997 will indicate when the approach controller transfers control of the flight to the tower and similarly when 998 the Tower Runway Controller assumes control of the flight the approach controller will have 999 confirmation of contact

- 1000 **Data required / Prerequisite –** Surveillance, Aircraft has been transferred from Approach to the 1001 Tower.
- 1002 Recommended Text to be displayed on HMI NO CONTACT

1003 Alert Type – INFORMATION.



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1004 **Alert trigger conditions** – when the flight is transferred and the aircraft fails to contact the tower 1005 within a certain distance/time from the runway (e.g. 4 miles or 120 seconds, based on the fact that the 1006 Tower Runway Controller has not yet assumed the flight versus the surveillance position of the flight).

1007 **Alert cancelled** – When the flight is assumed by the Tower Runway Controller or re-assumed by the previous approach controller.

Where alert is displayed – It is likely that this alert need only be displayed on the Tower Runway
 Controller's HMI and possibly the Tower Supervisor's HMI

1011 Note: A similar situation to above is identified but since it is not a standard procedure, this case is 1012 described as an optional feature. When an aircraft is transferred between ATCOs in the tower, e.g. 1013 Tower Ground Controller to the Tower Runway Controller or Tower Ground Controller to another 1014 Tower Ground Controller, and fails to make R/T contact by a certain point (based on local 1015 procedures). Based on the fact that the receiving ATCO has not assumed the flight verses the 1016 surveillance position of the flight, then an **INFORMATION** alert will be triggered, and will be cancelled 1017 when the flight is assumed by the receiving ATCO or reassumed by the previous ATCO.



1018

1019

1020 3.2.4.6 No Transfer (Instruction)

- 1021 Data required / Prerequisite Surveillance, Aircraft still on Tower Runway controller
- 1022 **Recommended Text to be displayed on HMI** NO TRANSFER (Local Implementation option TRANSFER?).
- 1024 Alert Type INFORMATION.
- 1025 Alert trigger conditions According to local implementation, the triggering condition could be:
- The position of the aircraft after take-off, e.g. altitude or distance from the runway.
- A time parameter after take-off.
- 1028 Alert cancelled When the Tower Runway Controller inputs the Transfer instruction on the EFS.
- Where alert is displayed This alert needs only be displayed on the Tower Runway Controller's HMI
 and possibly the Tower Supervisor's HMI.

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1031 1032

1033 3.2.4.7 No Take Off Clearance (Instruction)

- 1034 **Data required / Prerequisite –** Surveillance, **NO** take off clearance issued.
- 1035 **Recommended Text to be displayed on HMI** NO CLEARANCE (Local Implementation option 1036 NO TOF CLR).
- 1037 **Alert Type – INFORMATION** or **ALARM** (local implementation decision) depending on whether other 1038 traffic is known to be or planned to be in a hazardous position, such as within the RPA or within the 1039 climb out area.
- 1040 **Alert trigger conditions** Aircraft is supposed to line up and wait but is detected moving outside of a specified area on the runway.

1042 **Alert cancelled** – When the alert is triggered the ATCO will assess the situation and either will tell the 1043 aircraft to abort take off, or let the aircraft take off if it is considered safe to do so. Therefore the alert is 1044 cancelled when the controller inputs Take-Off or Abort Take-Off on the EFS.

1045 **Where alert is displayed** – It is likely that this alert need only be displayed on the Tower Runway 1046 Controller's HMI and possibly the Tower Supervisor's HMI.



1047

1048

1049 3.2.4.8 No Landing Clearance (Instruction)

- 1050 **Data required / Prerequisite –** Surveillance, **NO** Landing clearance issued.
- 1051 Recommended Text to be displayed on HMI NO CLEARANCE (Local Implementation option NO LND CLR).

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- 1053 **Alert Type INFORMATION** or **ALARM** (local implementation decision) depending on whether 1054 another mobile is known to be in the RPA or planned to enter the RPA.
- 1055 **Alert trigger conditions** The landing aircraft is detected at a certain distance/time (e.g. 0.5 miles or 15 seconds) from the runway threshold.
- Alert cancelled When the alert is triggered the ATCO will assess the situation and either clear the aircraft to land, or instruct the aircraft to go around if a landing clearance can not be issued.
 Therefore, the alert is cancelled when the controller inputs Clear to Land or Go-Around on the HMI.
- 1060 **Where alert is displayed** It is likely that this alert need only be displayed on the Tower Runway 1061 Controller's HMI and possibly the Tower Supervisor's HMI.



1062 1063

1064 **3.2.4.9** Landing on wrong runway (Instruction)

- 1065 Data required / Prerequisite Surveillance, Assigned landing runway.
- 1066 Recommended Text to be displayed on HMI WRONG RWY (Local Implementation option LND
 1067 WRONG RWY?).
- 1068 **Alert Type INFORMATION** or **ALARM** depending on whether other traffic is known within or 1069 planned to enter RPA within a specified time.
- 1070 **Alert trigger conditions** An arriving aircraft is detected to be aligned to a runway that differs to the assigned runway.
- 1072 **Alert cancelled** When the alert is triggered the ATCO will assess the situation and either tell the 1073 aircraft to go around, or let the aircraft land if it is considered safe to do so (does not apply if the 1074 Runway is Closed). Therefore, the alert is cancelled when the controller inputs Go-Around on the EFS 1075 or inputs the new runway on the EFS (if there is time) or when the aircraft is detected as having 1076 vacated the runway.
- 1077 **Where alert is displayed** It is likely that this alert need only be displayed on the Tower Runway 1078 Controller's HMI and possibly the Tower Supervisor's HMI.
- 1079

1080 3.2.4.10 Red Stop Bar Crossed (Instruction)

1081 Data required / Prerequisite – Surveillance, Red stop bar position and status.

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- 1082 **Recommended Text to be displayed on HMI** NO CLEARANCE (Local Implementation option -1083 RED STOP BAR CROSSED).
- 1084 Alert Type ALARM.

1085 Alert trigger conditions – A mobile is detected crossing a red stop bar, which can be positioned at 1086 an intermediate holding point or at the limit between control positions areas of responsibility. Note: 1087 The detection here is assumed to be by A-SMGCS Surveillance and not by other detection systems 1088 which currently exist at some airports. At airports where independent detection systems sense Stop 1089 bars being crossed there will need to be an operational assessment on how to manage the integration 1090 of the two concepts.

Alert cancelled – When the alert is triggered the ATCO will assess the situation and issue instructions accordingly e.g. inform the mobile of the infringement, pass traffic information, tell the mobile to continue to taxi or stop. Therefore, cancellation of the alert will be a local decision based on the system/s installed e.g. the ATCO might have to manually turn the stop bar off and on again or make an input on the EFS to Taxi or Hold Position.

1096 **Where alert is displayed** – It is likely that this alert need only be displayed on the Tower Runway or 1097 Tower Ground Controller's/Apron Manager HMI and possibly the Tower Supervisor's HMI.

1098Note: If the stop bar is positioned at a runway holding point and aligned with the RPA, then the1099RWY INCURSION (NO LINE-UP or NO CROSSING or NO ENTER) alarm will be used instead of1100this one.



1101 1102

1103 **3.2.4.11** Lining Up on the wrong runway (Instruction)

- 1104 **Data required / Prerequisite –** Surveillance, Assigned Runway.
- Recommended Text to be displayed on HMI WRONG RWY (Local Implementation option LUP
 WRONG RWY?).
- 1107 **Alert Type INFORMATION** or **ALARM** depending on whether other traffic is known within or 1108 planned to enter RPA within a specified time).
- 1109 **Alert trigger conditions** A departing aircraft is detected lining up on a runway that differs to the assigned runway.
- 1111 **Alert cancelled** When the alert is triggered the ATCO will assess the situation and will give the aircraft instructions to proceed to the correct runway. Therefore the alert is cancelled when the A-
- 1113 SMGCS detects that the aircraft is no longer lined up on the incorrect runway, or the ATCO changes
- 1114 the runway on the EFS to match the runway where the aircraft is positioned.

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1115 **Where alert is displayed** – It is likely that this alert need only be displayed on the Tower Runway 1116 Controller's HMI and possibly the Tower Supervisor's HMI.

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1118 3.2.4.12 Runway Incursion (Procedure or Instruction)

- 1119 **Data required / Prerequisite** Surveillance, RPA description, last Clearance given to the aircraft or 1120 vehicle.
- Recommended Text to be displayed on HMI RWY INCURSION (Local Implementation option RWY INCURSION for Vehicles and NO LUP CLR, or NO CROSS CLR, or NO ENTER CLR for Aircraft).
- 1124 **Alert Type INFORMATION** or **ALARM** (local implementation decision e.g. depending on whether 1125 other traffic is known to be in, or planned to enter, the RPA within a specified time).
- Alert trigger conditions Mobile detected within the RPA without a clearance (e.g. Line Up, Cross, or Enter). Note: If runway Stop bars are in use the detection is the crossing of a lit stop bar and if they are not in use the detection is crossing a defined point without a suitable clearance.
- 1129 Alert cancelled When the mobile leaves the RPA or is assigned an appropriate clearance.
- 1130 **Where alert is displayed** It is likely that this alert will be displayed on all positions due to its severity 1131 and the need to identify the offending mobile as soon as possible.



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1134 3.2.4.13 Runway or Taxi Type (Procedure)

- 1135 **Data required / Prerequisite –** Airport procedures, Surveillance, Assigned Runway/Route and aircraft type.
- 1137 **Recommended Text to be displayed on HMI** RWY TYPE or TWY TYPE.
- 1138 **Alert Type INFORMATION** or **ALARM** depending on whether the aircraft is planned to use the 1139 runway/taxiway or is actually on the runway/taxiway.
- 1140 **Alert trigger conditions –** When the cross check to see if the runway or taxi route is suitable for the aircraft type is negative.
- 1142 Alert cancelled When the aircraft is assigned a different and suitable runway or taxiway.
- 1143 Where alert is displayed -
- 11441. For Runway type non-conformance, It is likely that this alert need only be displayed on the1145Tower Runway Controller's HMI.

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- 1146 2. For Taxiway type non-conformance, It is likely that this alert need to be displayed on the 1147 Tower Runway and Ground Controller's HMI.
- 1148 Note: In the two images below the orange lines on the taxiways indicate the segments of taxiway 1149 unsuitable for a taxiing Airbus 380 at Paris CDG Airport.



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1152 3.2.4.14 Runway Closed (Procedure)

- 1153 **Data required / Prerequisite** Airport current operational environment description including runway 1154 status, Surveillance, Assigned Runway/Route.
- 1155 **Recommended Text to be displayed on HMI** RWY CLOSED.

1156 **Alert Type – INFORMATION** or **ALARM** depending on whether the aircraft is planned to use the 1157 runway or is actually present on the runway (or subject to local decision when the aircraft is at a 1158 specific distance/time from landing).

1159 **Alert trigger conditions** – When a selected runway is declared as closed within the system and a 1160 aircraft or towed aircraft is assigned to use that runway or is on that runway. The alert can be 1161 configured to trigger at a specific time before the landing time of an aircraft subject to local decision.

- 1162 **Alert cancelled** When the aircraft of towed aircraft is allocated a different runway or the runway status is changed.
- 1164 **Where alert is displayed** It is likely that this alert need be displayed on the Tower Runway 1165 Controller's HMI and the Tower Supervisor's HMI.
- 1166 In order to accommodate different situations it may be necessary to declare that a runway has one of 1167 the following states,
- active (useable for take-off and landing).
- inactive (useable as a taxiway so alerts will not be generated).
- closed (not useable by mobiles).
- 1171 The update of the runway status will be either the responsibility of the Tower Supervisor or the Airport1172 Operator depending on local rules.



1174

1175 3.2.4.15 Taxiway Closed (Procedure)

- 1176Data required / Prerequisite Airport current operational environment description including taxiway1177status, Surveillance and Assigned Route.
- 1178 Recommended Text to be displayed on HMI TWY CLOSED.
- 1179 **Alert Type INFORMATION** or **ALARM** depending on the mobiles position.

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1180 **Alert trigger conditions** – When a selected taxiway, or segment of the taxiway, is declared as closed 1181 within the system and an aircraft or aircraft being towed taxi route includes the closed area or the 1182 aircraft /aircraft being towed is already in that area.

- 1183 **Alert cancelled** When a new taxi route is input into the system avoiding the closed area or the aircraft /aircraft being towed moves out of the closed area.
- 1185 **Where alert is displayed** It is likely that this alert need be displayed on the HMI of the ATCO that 1186 has the aircraft / aircraft being towed under control and the Tower Supervisor's HMI.

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1191 3.2.4.16 High Speed (Procedure)

High speed alert is not to control a speed limitation on taxiways but to provide an early detection of
take-off from taxiway based on an abnormal speed or acceleration. As recently as February 2010,
aircraft have been known at major European airports to take off from taxiways instead of the runway.

1195 Recommended Text to be displayed on HMI – HIGH SPEED.

1196 **Data required / Prerequisite** – Current aircraft speed. Alerts have to take into account taxiway 1197 design and the type of Aircraft Operators using the airport (e.g. some operators are known to regularly 1198 taxi at high speed).

1199 Alert Type – INFORMATION or ALARM depending on local implementation.

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1200 **Alert trigger conditions** – When a high speed on a taxiway is detected and where it could endanger 1201 itself and/or other mobiles, examples could be that the initial **INFORMATION** alert is triggered when 1202 the speed is >40kts and the **ALARM** is triggered when the speed is >55kts, or when an abnormal 1203 acceleration is detected. Some airports may wish to implement only one of the alerts.

1204 Alert cancelled – When the aircraft speed reduces below the triggering speed.

1205 **Where alert is displayed** – It is likely that this alert need only be displayed on the Tower Ground 1206 Controller's HMI (and maybe the Tower Runway Controller's HMI if the taxiway is within or close to 1207 their area of responsibility) and possibly the Tower Supervisor's HMI.

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1212 **3.3 Differences between new and previous Operating Methods**

- 1213 The introduction of the new alerts aims to warn the ATCO well in advance of an incident where the 1214 main tools used today (like A-SMGCS RMCA) give a warning more or less at the last minute or not at 1215 all. The predictive nature of the alerts will help the ATCO and Flight Crews to maintain a higher level
- 1216 of safety on the surface by keeping to the published procedures and following instructions correctly.
- 1217 The operating methods for the use of A-SMGCS RMCA will not change.

1218 3.3.1 Conflicting ATC Clearances (CATC)

Working procedures for the controllers may need to be adapted to ensure that all clearances given to 1219 1220 aircraft or vehicles are input in the ATC system by the controller. Providing the ATCOs input the clearances according to the local procedures then they will see no difference to their current operating 1221 1222 method. Only in the unlikely event of an incorrect input will the system warn the ATCO of a possible 1223 incident. This functionality will help to prevent incidents such as the ZRH incident 2008 where 2 aircraft were both cleared to take off on intersecting runways and narrowly missed each other or more 1224 1225 tragically the Los Angeles accident 1991 where one aircraft was cleared to land on an aircraft that 1226 was lined up on the same runway resulting in 34 fatalities.

1227 3.3.2 Conformance Monitoring for Controllers (CMAC)

1228 The taxi route deviation alert will be one of the most useful alerts as it is known that there are several

- deviations a day at large busy airports and although they are identified by the ATCOs most of the time it is clear that when they go undetected the result could be a runway incursion and/or fatal accident
- 1231 (e.g. the accidents at Linate airport, Italy 2001 and Lexington airport, USA 2006).

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1232 **4 Detailed Operational Environment**

1233 4.1 Operational Characteristics

1234 The implementation of CATC and CMAC alerts needs to be discussed with local operational experts 1235 and regulators, in particular which alerts need to be implemented at the specific airport in question, 1236 which local parameters should be used for triggering the alerts and on which control positions they 1237 should be displayed.

1238 The Detection of CATC shall be applied to all mobiles under ATC control that are moving on the 1239 runways and taxiways close to the runway. Most of the CATC alerts require the availability of A-1240 SMGCS surveillance data.

1241 The CMAC application for checking non-conformance to ATC instructions is using in all cases A-1242 SMGCS Surveillance data. This requires that the traffic is transponder equipped and it is operating 1243 correctly and that Airports also have an A-SMGCS infrastructure in operation.

- 1244 The Detection of CMAC shall be applied to:
- all mobiles that are on the <u>manoeuvring</u> area (runways, taxiways).
- all mobiles under, or foreseen to be under, Air Traffic Control on the apron. Example:
 foreseen to be could be an aircraft pushing back without authorisation.
- Arriving and departing aircraft.

1249 **4.2 Roles and Responsibilities**

 The Tower Clearance Delivery Controller is responsible for issuing an initial clearance to the Flight Crew, which may be associated with a TSAT (Target Start-up Approval Time) that will enable the crew to take off at the TTOT (Target Take Off Time).

The Apron Manager is responsible for giving the departing Flight Crew the approval to start up engines at the TSAT, push back and start taxiing towards the boundary between the apron and the manoeuvring area. He is also responsible for approving the arriving Flight Crews' taxi from the boundary between the manoeuvring area and the apron towards the stand, according to the predicted stand number. At some airports, these tasks apply to every mobile present on the apron taxi lanes, including vehicles. Note: some airports do not have apron managers and at these airports the tasks are performed by the Tower Ground Controller.

- The Tower Ground controller is responsible for issuing a taxi clearance to the Flight Crews, either from the apron boundary or a given transfer point, to the holding point or a given transfer point, or from the runway exit or a given transfer point to the apron boundary or a given transfer point. He/she is also responsible for monitoring the movements on the taxiways so that they comply with the issued clearances. At some airports, these tasks apply to every mobile present on the taxiways, including vehicles.
 - The Tower Runway controller is responsible for managing the runway and issuing clearances to all mobiles (aircraft and vehicles), to enter or cross a runway, line-up, take-off and land on the active runways (for aircraft only).
 - The Tower Supervisor is responsible for managing and reporting any issues encountered during his team's work and takes any appropriate action to solve any encountered problem, especially technical ones.

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- The Flight Crew is responsible for piloting the aircraft, and following any instructions or clearances issued by the Controller on the manoeuvring area and once airborne. The Flight Crew is also responsible for the safety of the aircraft during movement on the aprons.
- 1280 The detection of CATC is a safety support tool for the **Tower Runway Controller** who is responsible 1281 for managing departing and arrival flights on the manoeuvring area (mainly on the runway and on 1282 taxiways close to the runway).

1283 The detection of CMAC is a safety support tool for **the Apron Manager**, **the Tower Ground** 1284 **Controller**, **the Tower Runway Controller and the Tower Supervisor** who are responsible for 1285 managing/monitoring mobiles on the movement area.

1286 **4.3 Constraints**

1287 The detection of CATC and CMAC requires the availability of accurate A-SMGCS Surveillance data, 1288 especially on and around the runway/s and precise Controller inputs. An HMI will be necessary to 1289 permit the Clearances/Instructions given to aircraft and vehicles, and it will be imperative that 1290 Controllers make timely inputs to the HMI coincident with the R/T transmissions.

1291 The detection of CATC and CMAC alerts involving vehicles that frequently operate on the 1292 manoeuvring area will require an operative vehicle transmitter ensuring detection and correct labelling 1293 by the A-SMGCS. Non-cooperative vehicles will need to be tracked and manually identified and 1294 labelled.

1295 For many of the CATC and CMAC alerts the A-SMGCS will need to know the status of runways and 1296 taxiways and the runway and taxiways assigned to every mobile.

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1298 **5 Use Cases**

As mentioned in section 2.3.3, the two services, "Detection of Conflicting ATC Clearances" and "Detection of Non Conformance to ATC instructions and/or procedures", defined for this OSED apply to both of the Scenarios "Taxi-In" and "Taxi-Out". Therefore it is decided to describe use cases per service instead of scenario.

1303 5.1 Use Cases for "Detection of CATC"

5.1.1 Use Case 1 CATC – Cleared to Land versus Line Up (DOD UC6 86)

1306 1307 General Conditions (Scope and Summary)

1308

1309 This Use Case describes how the ATC system detects a Cleared to Land versus Line-Up CATC and 1310 how it will be presented on the Tower Runway Controller's HMI.

1311 **Pre Conditions**

1312 The ATC system is equipped with EFS (and A-SMGCS surveillance for alternative flow).

1313 **Post Conditions**

1314 A "CATC" alert (Cleared to Land versus Line-Up) is presented on the Tower Runway Controller's HMI.

1315 Actor

1316 Tower Runway Controller.

1317 Trigger

1319

1321

1318 The input of the ATC Clearance 'line up' by the Tower Runway Controller.

1320 Main Flow

- 1322 1. Aircraft A is on final for RWY1 and receives from the Tower Runway Controller his landing 1323 clearance on this runway via R/T.
- The Tower Runway Controller makes an input 'Cleared to Land on runway 1' on the Human Machine Interface (HMI) for Aircraft A.
- 1326 3. Aircraft B is ready for departure, waiting at a Holding Point HP1 for RWY1.
- The Tower Runway Controller does not notice the CATC predictive indicator on his EFS and clears aircraft B to line up on RWY1 and makes an input 'Line-Up RWY1' on the HMI for Aircraft B.
- 1330 5. The ATC system verifies the relative position of both aircraft based on A-SMGCS surveillance1331 data.
- 1332 6. The ATC system detects that the aircraft A has not passed the HP1 for the runway where aircraft
 1333 B is waiting and then triggers an alert, informing the Tower Runway Controller, that a potential
 1334 conflict situation has been detected by the ATC system.
- The Alert triggered by the ATC system, is displayed on the Tower Runway Controller's HMI, and clearly identifies the pair of aircraft involved and the reason for the alarm.

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CONFIRM CLEARANCE					
RW27L RYR389	SIA261 LUP/LND				
ACCEPT	CANCEL				

- 1338 Note: The pop-up window displayed above is a generic example only.
- 1339 8. The Tower Runway Controller cancels the 'line up' clearance by R/T to aircraft B and cancels the'line up' input on the HMI.
- 1341 9. The ATC system removes the CATC from the Tower Runway Controller's HMI.
- 1342 10. The Use Case ends.
- 1343 1344 Alternative Flows
- 1345

1337

- 1346 [3] -The ATC system is designed to show predictive (see section 3.2.2) CATCs
- 1347 11. The ATC system flags Aircraft B with an indication for a potential CATC on the Tower Runway1348 Controller's HMI.
- 1349 12. The Use Case continues at [4]
- [6] -The ATC system detects that the landing aircraft A has already passed the HP1 for the runway
 where aircraft B is waiting and then no alarm is triggered.
- 1352 13. The ATC system, if designed to show predictive CATCs, removes the flag for Aircraft B (indication for a potential CATC) on the Tower Runway Controller's HMI.
- 1354 14. No alarm is triggered.
- 1355 15. The Use Case ends.
- 1356

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- 1357 [9] The Tower Runway Controller considers the situation still safe and ignores the triggered alarm.
- 1359 16. The Tower Runway Controller informs the ATC system, via an input, that he/she ignores the triggered alarm.
- 1361 17. The flow continues at step 10.

1362 Failure Flow

- 1364
 18. In the case where an alarm is not triggered due to an ATC system failure then the Tower Runway
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 19. In the case of a false alert the Tower Runway Controller will assess the situation as soon as
 the alert is presented, and if the alert is deemed to be false, cancel the alert and inform the
 supervisor of the error.

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1371 5.1.2 Use Case 2 CATC – Cleared to Land versus Cross Runway 1372 (DOD - UC6 86)

1373 General Conditions (Scope and Summary) 1374

1375 This Use Case describes how the ATC system detects a 'Cleared to Land' versus 'Cross Runway' 1376 CATC and how it will be presented on the Tower Runway Controller's HMI.

1377 Pre Conditions

1378 The ATC system is equipped with EFS (and A-SMGCS surveillance for alternative flow).

1379 Post Conditions

1380 A "CATC" alert (Cleared to Land versus Cross Runway) is presented on the Tower Runway 1381 Controller's HMI.

1382 Actor

1383 Tower Runway Controller.

1384 Trigger

1388

1385 The input of the ATC Clearance 'Cross Runway' by the Tower Runway Controller.1386

1387 Main Flow

- Aircraft A is on final for RWY1 and receives from the Tower Runway Controller his landing clearance on this runway via R/T.
- The Tower Runway Controller makes an input 'Cleared to Land on RWY1' on the HMI for Aircraft
 A.
- 1393 3. Aircraft B is holding at HP1and needs to cross RWY1 in order to proceed to its stand.
- 1394 4. The Tower Runway Controller makes an input 'Cross RWY1' on the HMI for Aircraft B.
- 1395 5. The ATC system verifies the relative position of both aircraft, based on A-SMGCS surveillance 1396 data.
- 1397
 6. The ATC system detects that the landing aircraft A has not passed the crossing point on the runway for aircraft B and then triggers an alert, informing the Tower Runway Controller, that a conflict situation has been detected by the ATC system.
- 1400 7. The Alert triggered by the ATC system, is displayed on the Tower Runway Controller's HMI, and 1401 clearly identifies the pair of aircraft involved and the reason for the alert.

CONFIRM CLEARANCE				
RW27L FIN871 SIA261 CROSS/LND				
ACCEPT	CANCEL			

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- 1403 Note: The pop-up window displayed above is a generic example only.
- 1404 Simultaneously with [7], an audio alarm sounds (depending on local implementation INFORMATION 1405 or ALARM) on the CWP to warn the Tower Runway Controller.
- 14068.The Tower Runway Controller will cancel the 'Cross RWY1' clearance by R/T to aircraft B and1407cancel the 'Cross RWY1' input on the HMI.
- 1408 9. The ATC system removes the Conflicting ATC clearance from the Tower Runway Controller's1409 HMI.

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1410 10. The Use Case ends.

1411 Alternative Flows

1412

- 1413 [3] -The ATC system is designed to show predictive CATCs
- 1414 11. The ATC system flags Aircraft B with an indication for a potential Conflicting ATC clearance on 1415 the Tower Runway Controller's HMI.
- 1416 12. The Use Case continues at [4].
- 1417 [6] The ATC system detects that the landing aircraft A has already passed the crossing point on the
 runway for aircraft B and then no alarm is triggered.
- 1419 13. The ATC system, if designed to show predictive CATCs, removes the flag for Aircraft B 1420 (indication for a potential Conflicting ATC clearance) on the Tower Runway Controller's HMI.
- 1421 14. no alarm is triggered.
- 1422 15. The Use Case ends.
- [10] The Tower Runway Controller considers the situation still safe and ignores the triggered alarm.
 1424
- 1425 16. The Tower Runway Controller informs the ATC system, via an input, that he/she ignores the triggered alarm.
- 1427 17. The flow continues at step 10.

1428 Failure Flows

- 1430
 18. In the case where an alarm is not triggered due to a ATC system failure then the ATCO and
 Flight Crew will be relied upon to identify the potentially hazardous situation and resolve the
 problem as quickly and safely as possible. This is often the case today where these alerts do not
 exist.
- 143419. In the case of a false alert the ATCO will assess the situation as soon as the alert is presented, and if the alert is deemed to be false, cancel the alert and inform the supervisor of the error.

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5.1.3 Use Case 3 Conflicting ATC Clearance – Line Up versus Line 1437 Up (opposite Holding Points) (DOD - UC6 86) 1438

General Conditions (Scope and Summary) 1439

1440

1441 This Use Case describes how the ATC system detects a Line-Up versus Line-Up Conflicting ATC 1442 Clearance for aircraft holding at opposite holding points for the same runway and how it will be presented on the Tower Runway Controller's HMI.

1443

Pre Conditions 1444

1445 The ATC system is equipped with Electronic Flight Strips.

Post Conditions 1446

- 1447 A "Conflicting ATC Clearance" alarm (Line-Up versus Line-Up) is presented on the Tower Runway 1448 Controller's HMI.
- 1449 Actor
- Tower Runway Controller. 1450

Trigger 1451

The input of the 2nd ATC Clearance 'Line Up' by the Tower Runway Controller. 1452 1453

Main Flow 1454

- 1455 1. Aircraft A is ready for departure, holding at holding point HP1 for RWY1, awaiting a Line Up 1456 clearance from the Tower Runway Controller. 1457
- 1458 2. The Tower Runway Controllers, gives, via R/T, aircraft A his 'Line Up' Clearance.
- The Tower Runway Controller makes an input 'Line Up RWY1' on the HMI for Aircraft A. 1459 3.
- 1460 4. Aircraft B is ready for departure, holding at a Holding Point HP2 for RWY1, awaiting a Line Up clearance from the Tower Runway Controller. 1461
- 1462 Holding Point HP2 is opposite to HP1. 5.
- 6. The Tower Runway Controllers, gives, via R/T, aircraft B his 'Line Up' Clearance. 1463
- 1464 7. The Tower Runway Controller makes an input 'Line Up RWY1' on the HMI for Aircraft B.
- 1465 8. The Alert triggered by the ATC system, is displayed on the Tower Runway Controller's HMI, and clearly identifies the pair of aircraft involved and the reason for the alarm. 1466



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- 1468 9. The Tower Runway Controller cancels the 'Line Up' clearance by R/T to aircraft A or B and 1469 cancels the associated 'Line Up RWY1' input on the HMI.
- 10. The ATC system removes the Conflicting ATC clearance from the Tower Runway Controller's 1470 1471 HMI.
- 1472 11. The Use Case ends.

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1473

1474 Alternative Flows1475

- 1476 [4] -The ATC system is designed to show predictive CATCs
- 1477 12. The ATC system flags Aircraft B with an indication for a potential Conflicting ATC clearance on the 1478 Tower Runway Controller's HMI.
- 1479 13. The Use Case continues at [5]
- 1480

1481 Failure Flows

- 14. In the case where an alarm is not triggered due to a ATC system failure then the ATCO and
 Flight Crew will be relied upon to identify the potentially hazardous situation and resolve the
 problem as quickly and safely as possible. This is often the case today where these alerts do not
 exist.
- 1486 15. In the case of a false alert the ATCO will assess the situation as soon as the alert is presented, 1487 and if the alert is deemed to be false, cancel the alert and inform the supervisor of the error.

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5.1.4 Use Case 4 Conflicting ATC Clearance – Take Off versus Take 1489 Off (crossing runways) (DOD - UC6 86) 1490

General Conditions (Scope and Summary) 1491

1492

This Use Case describes how the ATC system detects a Take-Off versus Take-off Conflicting ATC 1493 1494 Clearance on crossing runways and how it will be presented on the Tower Runway Controller's HMI.

Pre Conditions 1495

1496 The ATC system is equipped with Electronic Flight Strips (and A-SMGCS surveillance for alternative 1497 flow).

1498 Post Conditions

1499 A "Conflicting ATC Clearance" alarm (Take-Off versus Take-Off) is presented on the Tower Runway 1500 Controller's HMI.

- 1501 Actor
- Tower Runway Controller. 1502

1503 Trigger

The input of the 2nd 'Take Off' ATC Clearance by the Tower Runway Controller. 1504 1505

Main Flow 1506 1507

- 1508 1. Aircraft A is lined up on RWY1 and receives from the Tower Runway Controller his take-off clearance on this runway via R/T. 1509
- The Tower Runway Controller makes an input 'Cleared Take Off RWY1' on the HMI for Aircraft 1510 2. 1511 A.
- 1512 3. Aircraft B is lined up on RWY2, waiting for his take-off clearance on RWY2.
- 4. The Tower Runway Controller makes an input 'Cleared Take Off RWY2' on the HMI for Aircraft B, 1513
- 1514 5. The ATC system verifies the relative position of both aircraft, based on A-SMGCS surveillance 1515 data.
- 1516 6. The ATC system detects that aircraft A has not already passed a point on the runway considered 1517 as safe, after the crossing Point of the runways and triggers an alarm, informing the Tower Runway Controller, that a conflict situation has been detected by the ATC system. 1518
- The Alert triggered by the ATC system, is displayed on the Tower Runway Controller's HMI, and 1519 7. 1520 clearly identifies the pair of aircraft involved and the reason for the alarm.

CONFIRM CLEARANCE				
RW27 / RW32	RYR389 A	EA3430	TOF/TOF	
ACCEPT		CANCEL		

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- Simultaneously with [7], an audio alarm sounds on the CWP to warn the Tower Runway 1523 8. 1524 Controller.
- The Tower Runway Controller cancels the 'Cleared Take Off RWY2' clearance by R/T to aircraft B 1525 9. and cancels the 'Cleared Take Off RWY2' input on the EFS. 1526

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- 1527 10. The ATC system cancels the Conflicting ATC clearance alarm and removes the Conflicting ATC clearance from the Tower Runway Controller's HMI.
- 1529 11. The Use Case ends.

1530

1532

1540

1531 Alternative Flows

- 1533 [3] -The ATC system is designed to show predictive CATCs.
- 1534 12. The ATC system flags Aircraft B with an indication for a potential Conflicting ATC clearance on 1535 the Tower Runway Controller's HMI.
- 1536 13. The Use Case continues at [4].
- 1537
 1538 [6] The ATC system detects that aircraft A has already passed a point on the runway considered as 1539 safe, after the crossing Point of the runways and then no alarm is triggered.
- 1541 14. The ATC system, if designed to show predictive CATCs, removes the flag for Aircraft B 1542 (indication for a potential Conflicting ATC clearance) on the Tower Runway Controller's HMI.
- 1543 15. No alarm is triggered.
- 1544 16. The Use Case ends.

1545
 1546 [9] – The Tower Runway Controller considers the situation still safe and ignores the triggered alarm.
 1547

- 1548 17. The Tower Runway Controller informs the ATC system, via an input, that he/she ignores the 1549 triggered alarm.
- 1550 18. The flow continues at step [11].
- 1551

1552 Failure Flows

- 19. In the case where an alarm is not triggered due to a ATC system failure then the ATCO and
 Flight Crew will be relied upon to identify the potentially hazardous situation and resolve the
 problem as quickly and safely as possible. This is often the case today where these alerts do not
 exist.
- 1557 20. In the case of a false alert the ATCO will assess the situation as soon as the alert is presented,1558 and if the alert is deemed to be false, cancel the alert and inform the supervisor of the error.

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1561 5.2 Use Cases for "Non Conformance to ATC instructions and/or procedures"

5.2.1 Use Case 1 "Conformance Monitoring functions for an Arrival Flight" (DOD UC6 21, 6 31)

1565 General Conditions (summary and scope)

- 1566 This Use Case describes the triggering conditions for Conformance Monitoring alerts for ATCOs for 1567 an arrival flight to an airport.
- 1568 The use case is based on a use case developed for the project 6.9.2 (Advanced Integrated Controller 1569 Working Position), describing the nominal flow of interactions between ATCOs, Flight Crew and the 1570 System.
- 1571 The con-conformance events in this use case are described as alternative flows.

1572 **Pre Condition**

1573 The Ground system is equipped with Electronic Flight Strips (EFS) and A-SMGCS surveillance.

1574 Post Condition

1575 The aircraft has arrived at the assigned Stand.

1576 Actors

- 1577 ATCO's (Approach Controller, Tower Runway Controller and Tower Ground Controller).
- 1578 Tower Supervisor.
- 1579 Flight Crew.

1580 Trigger

1581 The Use Case starts when the Arrival flight is within the planning horizon of the Tower Runway 1582 Controller (time or distance parameter).

1583 Nominal Flow

- The Tower Runway Controller is informed by the system that the planned arrival flight is within his planning horizon (certain time or distance parameter from touch-down) by the display of a PENDING ARRIVAL Electronic Flight strip (EFS) for the concerned flight on the A-CWP.
- The Tower Runway Controller is informed by the system that the Approach Controller has made a system input indicating that the Flight Crew has been instructed to contact him, using R/T, by a change of the PENDING EFS to a TRANSFER-IN EFS.
- 1590 3. The Flight Crew establish two-way R/T communication with the Tower Runway Controller.
- 1591 4. The Tower Runway Controller informs the system, by a system input, that two-way R/T has been established with the Flight Crew.
- 1593 5. The system changes the status of the flight from TRANSFER-IN to ASSUMED by the display of an ASSUMED EFS on the A-CWP of the Tower Runway Controller.
- 1595 6. The system informs the Tower Ground Controller that the Arrival Flight has been ASSUMED by 1596 the Tower Runway Controller by the display of a PENDING EFS on his A-CWP.

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- The Tower Runway Controller verifies (visually or by observing the A-SMGCS surveillance) that
 the assigned runway for the Arrival Flight is clear.
- The Tower Runway Controller communicates the latest wind information, displayed on the A-CWP, to the Flight Crew and delivers the landing clearance, via R/T to the Flight Crew.
- 16019. The Tower Runway Controller informs the system, by a system input that the landing clearance has been given to the Flight Crew.
- 1603 10. The Flight Crew land the aircraft.
- 1604 11. The system detects that the aircraft has landed and records the Actual Landing Time (ALDT).
- 1605 12. The Flight Crew vacate the Runway.
- 1606 13. The Tower Runway Controller verifies (visually or by observing the A-SMGCS surveillance) that
 1607 the aircraft has vacated the runway and informs the system, by a system input (e.g. moves the
 1608 EFS out of the runway bay), that the runway has been vacated.
- 1609 14. The Tower Runway Controller instructs the Flight Crew via R/T to contact the Tower Ground 1610 Controller.
- 1611 15. The Tower Runway Controller informs the System, via a system input, that the Flight Crew has1612 been instructed to contact the Tower Ground Controller.
- 1613 16. The system changes the state of the aircraft from ASSUMED to TRANSFER-OUT on the A-CWP display of the Tower Runway Controller by the display of a TRANSFER-OUT EFS.
- 1615
 17. The Tower Ground Controller is informed by the system that the Tower Runway Controller has made a system input indicating that the Flight Crew has been instructed to contact him, by a change of the PENDING EFS to a TRANSFER-IN EFS on his A-CWP
- 1618 18. The Flight Crew establish two-way R/T communication with the Tower Ground Controller.
- 1619 19. The Tower Ground Controller informs the system, by a system input, that two-way R/T is 1620 established with the Flight Crew.
- 1621 20. The system changes the status of the flight from TRANSFER-IN to ASSUMED by the display of 1622 an ASSUMED EFS on the A-CWP of the Tower Ground Controller.
- 1623 21. The system changes the status of the flight for the Tower Runway Controller from TRANSFER 1624 OUT to NON-CONCERNED by removing the EFS on the A-CWP of the Tower Runway
 1625 Controller.
- 1626 22. The Tower Ground Controller verifies that the planned TAXI-IN route proposed by the system for 1627 the aircraft is suitable.
- 1628 23. The Tower Ground Controller, via R/T or data link, delivers TAXI-IN instructions to the Flight 1629 Crew.
- 1630 24. The Tower Ground Controller informs the system, via an system input, that the TAXI-IN instructions have been given to the Flight Crew.
- 1632 25. The Flight Crew taxies the aircraft according to the TAXI-IN instructions received.
- 1633 26. The system detects that the aircraft has reached the stand and records the Actual In-Block Time (AIBT).

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- 1635 27. The EFS for the flight on the Tower Ground Controller's A-CWP display is automatically removed 1636 by the system X seconds (time parameter) after AIBT.
- 1637 28. The Use Case ends.

1638

1639 Alternative Flows

- 1640 [3] The Flight Crew has not established 2-way radio-communication with the Tower Runway 1641 Controller at a certain distance parameter before the runway threshold / or time parameter before the 1642 estimated landing time (ELDT)
- 1643 29. The Conformance Monitoring function of the system triggers a **NO CONTACT** information alert 1644 for the concerned aircraft that is displayed on the ATCOs HMI.
- 164530. The Tower Runway Controller evaluates the situation and take all actions necessary in order to
establish 2-way radio-communication with the Flight Crew.
- 1647 31. The Tower Runway Controller informs the system, by a system input, that two-way R/T has been 1648 established with the Flight Crew.
- 1649 32. The Conformance Monitoring information alert NO CONTACT is cancelled and removed from 1650 the ATCOS HMI.
- 1651 33. The Use Case continues at step [5].

1652

[8] The Tower Runway Controller has not delivered the landing clearance to the Flight Crew at a
 <u>certain distance parameter before the runway threshold / or time parameter before the estimated</u>
 landing time (ELDT)

- 1656 34. The Conformance Monitoring function of the system triggers a NO LANDING CLEARANCE alert
 1657 for the concerned aircraft that is displayed on the ATCOs HMI.
- 165835. The Tower Runway Controller evaluates the situation and takes all actions necessary to deliver1659the Landing Clearance to the Flight Crew.
- 166036. The Tower Runway Controller informs the system, by a system input, which the Landing1661Clearance has been given to the Flight Crew.
- 166237. The Conformance Monitoring alert NO LANDING CLEARANCE is cancelled and removed from1663the ATCOs HMI. Note: In the event that a landing clearance can not be issued and a Go around1664instruction is input into the system then the NO LANDING CLEARANCE alert is also cancelled.
- 1665 38. The Use Cases continues at step [10].
- 1666

1667[12] The Flight Crew does not vacate the runway and stops the aircraft within the Runway1668Protection Area

- 39. The Conformance Monitoring function of the system detects, based on surveillance data, that the aircraft has stopped within the Runway Protection Area (RPA).
- 40. X seconds (time parameter) after the detection, the Conformance Monitoring function triggers a
 STATIONARY IN RPA alert.

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- 1673 41. The alert is an INFORMATION alert if, based on information on the Electronic Flight Strips, no
 1674 other aircraft is foreseen to use the same runway within a certain time parameter
- 1675 42. The alert is an ALARM if, based on information on the Electronic Flight Strips, another aircraft is foreseen to use the same runway within a certain time parameter.
- 1677 43. The triggered STATIONARY IN RPA alert is displayed on the ATCOs HMI.
- 1678 44. The Tower Runway Controller evaluates the situation and takes all necessary actions in order1679 that the aircraft vacates the RPA.
- 45. The Conformance Monitoring function detects that the aircraft is moving again and has vacatedthe RPA and cancels the STATIONARY IN RPA alert.
- 1682 46. The STATIONARY IN RPA alert is removed from the ATCOs HMI.
- 1683 47. The Use Case continues at step [13].
- 1684

1685 [14] The aircraft has to cross an active runway before it can be transferred to the Tower Ground 1686 Controller

- 1687 48. The Tower Runway Controller instructs the Flight Crew, via R/T, to hold short of the active 1688 runway.
- 49. The Tower Runway Controller informs the system, by a system input that a hold short instructionfor the active runway has been given to the Flight Crew.
- 1691 50. The Flight Crew fails to stop the aircraft at the red stop bar associated with the active runway.
- 1692 51. The Conformance Monitoring function of the system detects that the aircraft has not stopped at
 the red stop bar protecting the active runway and triggers a **RWY INCURSION** alert for the
 concerned aircraft that is displayed on the ATCOS HMI.
- 1695 52. The Tower Runway Controller evaluates the situation and takes all necessary actions in order to 1696 resolve the situation.
- 169753. The Tower Runway Controller delivers via R/T a crossing clearance for the active Runway to the1698Flight Crew.
- 1699 54. The Tower Runway Controller informs the system, by a system input that a crossing clearance1700 for the active runway has been given to the Flight Crew.
- 1701 55. The Conformance Monitoring function cancels the **RWY INCURSION** alert.
- 1702 56. The RWY INCURSION alert is removed from the ATCOs HMI.
- 1703 57. The Flight Crew crosses the runway.
- 1704 58. The Tower Runway Controller verifies (visually or by observing the A-SMGCS surveillance) that
 1705 the aircraft has vacated the Runway Protection Area and informs the system, by a system input,
 1706 that the runway has been vacated
- 1707 59. The Use Case resumes at step [14].

1708

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- 1709 [25] The Flight Crew deviates from the TAXI-IN instructions received (DOD UC6 21)
- 1710 60. The Flight Crew deviates from the cleared taxi-in route.
- 1711 61. The Conformance Monitoring function of the system detects that the aircraft has deviated from
 1712 the cleared TAXI-IN route and triggers a **ROUTE DEVIATION** alert for the concerned aircraft that
 1713 is displayed on the ATCOs HMI.
- 1714 62. The alert is an ALARM if the deviation detected takes place near the RPA of an active runway or 1715 the taxiway on which the aircraft is currently detected is unsuitable or closed.
- 1716 63. The alert is an INFORMATION alert in other cases.
- 1717 64. The triggered ROUTE DEVIATION alert is displayed on the ATCOs HMI.
- 1718 65. The Tower Ground Controller evaluates the situation and shall issue updated taxi instructions to
 1719 the Flight Crew. (normally via R/T)
- 1720 66. The Tower Ground Controller updates the cleared taxi-in route in the system.
- 1721 67. The Conformance Monitoring function shall detect that the aircraft is moving again along its1722 cleared trajectory and cancels the ROUTE DEVIATION alert.
- 1723 68. The ROUTE DEVIATION alert is removed from the ATCOs HMI.
- 1724 69. The Use Case resumes at step [25].
- 1725 [25] While the Flight Crew taxies the aircraft according to the TAXI-IN instructions received, a part 1726 of the Taxi route gets closed (DOD - UC6 21)
- 1727 70. The Tower Supervisor informs the system, via a system input, that a taxiway is closed.
- 1728 71. The Conformance Monitoring function of the system detects that the 'cleared taxi route' of an 1729 aircraft passes via a now closed taxiway and triggers a **TAXIWAY CLOSED** information alert.
- 1730 72. The triggered TAXIWAY CLOSED information alert is displayed on the ATCOs HMI.
- 1731 73. The Tower Ground Controller evaluates the situation and issues updated taxi instructions to the1732 Flight Crew. (normally via R/T)
- 1733 74. The Tower Ground Controller updates the cleared taxi route in the system.
- 1734 75. The Conformance Monitoring function detects that the updated cleared taxi route no longer1735 passes via the closed taxiway and cancels the alert.
- 1736 76. The TAXIWAY CLOSED alert is removed from the ATCOs HMI.
- 1737 77. The Use Case resumes at step [25].
- 1738 78.

1739 Failure Flow

1740 79. In the case where an alert is not triggered due to a system failure then the ATCO and Flight
1741 Crew will be relied upon to identify the non-conformance situation and resolve the problem as
1742 quickly and safely as possible. This is often the case today where these alerts do not exist.

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1743 80. In the case of a false alert the ATCO will assess the situation as soon as the alert is presented, 1744 and if the alert is deemed to be false, cancel the alert and inform the supervisor of the error.

1745

1746 5.2.2 Use Case 2 "Conformance Monitoring functions for a 1747 Departure Flight"

1748

1749 General Conditions (summary and scope)

- 1750 This Use Case describes the triggering conditions for Conformance Monitoring alerts for ATCOs for a 1751 departing flight at an airport.
- 1752 The use case is based on a use case developed for the project 6.9.2 (Advanced Integrated Controller
- Working Position), describing the nominal flow of interactions between ATCOs, Flight Crew and the System.
- 1755 The non-conformance events in this use case are described as alternative flows.

1756 **Pre Condition**

1757 The Ground system is equipped with Electronic Flight Strips and A-SMGCS surveillance.

1758 Post Condition

1759 The aircraft is airborne.

1760 Actors

- 1761 ATCO's (Clearance Delivery Controller, Tower Ground Controller, Tower Runway Controller and 1762 Approach Controller).
- 1763 Tower Supervisor.
- 1764 Flight Crew.
- 1765

1766 Trigger

1767 The Use Case starts when the Departing flight is within the planning horizon of the Clearance Delivery1768 Controller (time parameter before TOBT)

1769 Nominal Flow

- The Clearance Delivery Controller is informed by the system that the planned departure flight is within his planning horizon (time parameter before TOBT) by the display of a PENDING DEPARTURE Electronic Flight strip (EFS) for the concerned flight on the A-CWP.
- The Flight Crew contacts the Clearance Delivery Controller, following local procedures (as from X time before TOBT), to obtain the Departure Clearance.
- The Clearance Delivery Controller informs the system, via a system input, that the Flight Crew has established R/T contact.
- The system changes the status of the flight from PENDING DEP to ASSUMED by the display of an ASSUMED EFS on the A-CWP of the Clearance Delivery Controller.
- 1779 5. The Clearance Delivery Controller delivers the Departure Clearance (DCL) to the Flight Crew.
- 17806. The Flight Crew verifies the received DCL and informs the Clearance Delivery Controller of their acceptance.

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- 17827. The Clearance Delivery Controller informs the system, via a system input, that the DCL has been delivered to the Flight Crew.
- The Flight Crew requests via R/T or data link, when the aircraft is ready and following local procedures, Start-up Approval to the Clearance Delivery Controller.
- 1786
 9. The Clearance Delivery Controller verifies that the Start-up Approval Request is within a defined time window for the TSAT (local procedure) and approves the request.
- 1788 10. The Clearance Delivery Controller informs the system, via a system input, that the Start-up 1789 Approval has been given to the Flight Crew.
- 1790 11. The system informs the Tower Ground Controller that the Flight Crew has received Start-up 1791 approval by the display of a PENDING DEPARTURE EFS on his A-CWP.
- 1792 12. The Clearance Delivery Controller instructs the Flight Crew, via R/T or data link, to contact the 1793 Tower Ground Controller.
- 1794 13. The Clearance Delivery Controller informs the system via a system input that the Flight Crew has been instructed to contact the Tower Ground Controller.
- 1796 14. The system shall change the state of the aircraft from ASSUMED to TRANSFER-OUT on the A-1797 CWP display of the Clearance Delivery Controller by the display of a TRANSFER-OUT EFS.
- 1798 15. The Tower Ground Controller is informed by the system that the Clearance Delivery Controller
 1799 has made a system input indicating that the Flight Crew has been instructed to contact him, by a
 1800 change of the PENDING EFS to a TRANSFER-IN EFS on his A-CWP
- 1801 16. The Flight Crew establish two-way R/T communication with the Tower Ground Controller.
- 1802 17. The Tower Ground Controller informs the system, by a system input, that two-way R/T has been established with the Flight Crew.
- 1804
 18. The system changes the status of the flight from TRANSFER-IN to ASSUMED by the display of
 an ASSUMED EFS on the A-CWP of the Tower Ground Controller.
- 1806
 19. The system changes the status of the flight for the Clearance Delivery Controller from TRANSFER-OUT to NON-CONCERNED by removing the EFS on the A-CWP of the Clearance Delivery Controller.
- 1809 20. The Flight Crew request, via R/T, Push Back Approval to the Tower Ground Controller.
- 1810 21. The Tower Ground Controller verifies that the Push Back Approval Request is within a defined
 1811 time window for the TSAT (local procedure).
- 1812 22. The Tower Ground Controller verifies (visually or by observing the A-SMGCS surveillance) that
 1813 the Push Back can be safely started.
- 1814 23. The Tower Ground Controller, via R/T, approves the Push Back request.
- 1815 24. The Tower Ground Controller informs the system, via a system input, that the Push Back1816 Approval has been given to the Flight Crew.
- 1817 25. The system informs the Tower Runway Controller that the Flight Crew has received Push Back1818 approval by the display of a PENDING DEPARTURE EFS on his A-CWP.
- 1819 26. The Ground / Flight Crew perform the Push Back manoeuvre.

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- 1820 27. The Flight Crew request, via R/T or data link, TAXI OUT instructions.
- 1821 28. The Tower Ground Controller verifies that the planned TAXI-OUT route proposed by the system1822 for the aircraft is suitable.
- 1823 29. The Tower Ground Controller, via R/T or data link, delivers TAXI-OUT instructions to the Flight
 1824 Crew.
- 30. The Tower Ground Controller informs the system, via a system input, that the TAXI-OUT instructions have been given to the Flight Crew.
- 1827 31. The Flight Crew taxies the aircraft according to the TAXI-OUT instructions received.
- 1828 32. Following local procedures, the Tower Ground Controller instructs, via R/T, the Flight Crew to contact the Tower Runway Controller.
- 1830 33. The Tower Ground Controller informs the system, via a system input, that the Flight Crew has1831 been instructed to contact the Tower Runway Controller.
- 1832 34. The System changes the state of the aircraft from ASSUMED to TRANSFER-OUT on the A 1833 CWP display of the Tower Ground Controller by the display of a TRANSFER-OUT EFS.
- 1834 35. The Tower Runway Controller is informed by the system that the Tower Ground Controller has
 1835 made a system input indicating that the Flight Crew has been instructed to contact him, by a
 1836 change of the PENDING EFS to a TRANSFER-IN EFS on his A-CWP
- 1837 36. The Flight Crew establish two-way R/T communication with the Tower Runway Controller.
- 1838 37. The Tower Runway Controller informs the system by a system input that two-way R/T has been established with the Flight Crew.
- 1840 38. The system changes the status of the flight from TRANSFER-IN to ASSUMED by the display of
 1841 an ASSUMED EFS on the A-CWP of the Tower Runway Controller.
- 1842 39. The system changes the status of the flight for the Tower Ground Controller from TRANSFER 1843 OUT to NON-CONCERNED by removing the EFS on the A-CWP of the Tower Ground
 1844 Controller.
- 1845 40. The Flight Crew reaches the assigned Holding Point for the Departure Runway.
- 1846 41. The Tower Runway Controller verifies (visually or by observing the A-SMGCS surveillance) that
 1847 the Final Approach path for the Departure runway is clear.
- 1848 42. The Tower Runway Controller delivers, via R/T, a LINE UP clearance to the Flight Crew.
- 1849 43. The Tower Runway Controller informs the system, via a system input, that the LINE UP1850 clearance has been given to the Flight Crew.
- 1851 44. The system turns off the RED STOP BAR for the assigned Holding Point.
- 1852 45. The system moves the EFS of the departure flight to the assigned Runway Bay.
- 1853 46. The Flight Crew lines up the aircraft.
- 47. The System detects that the aircraft has crossed the extinguished STOP BAR and automaticallyturns on the RED STOP BAR.

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- 1856 48. The system records, based on surveillance data, that the line up of the aircraft on the departing runway is completed.
- 1858
 49. The Tower Runway Controller verifies (visually or by observing the A-SMGCS surveillance) that the Departure runway is clear.
- 1860 50. The Tower Runway Controller communicates the latest wind information, displayed on the A 1861 CWP, to the Flight Crew and delivers the take-off clearance, via R/T.
- 1862 51. The Tower Runway Controller informs the system, via a system input, that the TAKE-OFF1863 clearance has been given to the Flight Crew.
- 1864 52. The Flight Crew take off the aircraft.
- 1865 53. Following local procedures, the Tower Runway Controller instructs, via R/T or data link, the
 Flight Crew to contact the Departure Controller.
- 1867 54. The Tower Runway Controller informs the system, via a system input, that the Flight Crew has1868 been instructed to contact the Departure Controller.
- 55. The System changes the state of the aircraft from ASSUMED to TRANSFER-OUT on the A CWP display of the Tower Runway Controller by the display of a TRANSFER-OUT EFS.
- 1871 56. The Departure Controller is informed by the system that the Tower Runway Controller has made
 a system input indicating that the Flight Crew has been instructed to contact him, by a change of
 the PENDING EFS to a TRANSFER-IN EFS on his A-CWP
- 1874 57. The Flight Crew establish two-way R/T communication with the Departure Controller.
- 1875 58. The Departure Controller informs the system by a system input that two-way R/T has been established with the Flight Crew.
- 1877 59. The system changes the status of the flight from TRANSFER-IN to ASSUMED by the display of1878 an ASSUMED EFS on the A-CWP of the Departure Controller.
- 1879 60. The system changes the status of the flight for the Tower Runway Controller from TRANSFER 1880 OUT to NON-CONCERNED by removing the EFS on the A-CWP of the Tower Runway.
- 1881 61. The Use Case ends.
- 1882

1883 Alternative Flow

1884[20] The Flight Crew starts the Pushback manoeuvre without approval from the Tower Ground1885Controller

- 1886
 62. The Conformance Monitoring function of the system detects that the aircraft is moving without a pushback clearance, based on information available in the Electronic Flight Strip system, and triggers a NO PUSHBACK APPROVAL information alert.
- 1889 63. The triggered NO PUSHBACK APPROVAL information alert is displayed on the ATCOs HMI.
- 64. The Tower Ground Controller evaluates the situation, take all actions necessary, and when
 possible, approves the pushback. (normally via R/T)
- 1892 65. The Tower Ground Controller informs the system that the Pushback approval has been delivered1893 to the Flight Crew.

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 D32 - Final OSED for Conflicting ATC Clearances and Conformance Monitoring Alerts for Controllers
 66. The Conformance Monitoring function detects that the aircraft has received Pushback Clearance

- 1894 66. The Conformance Monitoring function detects that the aircraft has received Pushback Clearance
 1895 and cancels the NO PUSHBACK APPROVAL information alert.
- 1896 67. The NO PUSHBACK APPROVAL information alert is removed from the ATCOs HMI.
- 1897 68. The Use Case continues at step [25].
- 1898
- 1899 [27] The Flight Crew starts taxiing without approval from the Tower Ground Controller
- 1900
 69. The Conformance Monitoring function of the system detects that the aircraft is moving without a taxi clearance, based on information available in the Electronic Flight Strip system, and triggers a NO TAXI APPROVAL information alert.
- 1903 70. The triggered NO TAXI APPROVAL information alert is displayed on the ATCOs HMI.
- 1904 71. The Tower Ground Controller evaluates the situation, takes all actions necessary, and when 1905 possible, approves the taxi. (normally via R/T)
- 1906 72. The Tower Ground Controller informs the system that the Taxi instructions have been given to1907 the Flight Crew.
- 190873. The Conformance Monitoring function detects that the aircraft has received Taxi instructions and
cancels the NO TAXI APPROVAL information alert.
- 1910 74. The NO TAXI APPROVAL information alert is removed from the ATCOs HMI.
- 1911 75. The Use Case continues at step [31].
- 1912
- 1913[31] The Flight Crew does not stop the aircraft at an intermediate Holding Point, defined in the1914TAXI-OUT route delivered by the Tower Ground Controller. (DOD UC6 21)
- 1915 76. The Conformance Monitoring function of the system detects that the aircraft is moving past the intermediate holding point defined in the TAXI-OUT route and triggers a **NO TAXI APPROVAL** information alert.
- 1918 77. The triggered NO TAXI APPROVAL information alert is displayed on the ATCOs HMI.
- 191978. The Tower Ground Controller evaluates the situation, take all actions necessary, and when1920possible, deliver further taxi instructions (normally via R/T)
- 1921 79. The Tower Ground Controller updates the TAXI-OUT route for the aircraft in the system.
- 1922 80. The Flight Crew continue to taxi the aircraft according to the updated TAXI-OUT instructions1923 received.
- 192481. The Conformance Monitoring function detects that the aircraft is conforming with the updated1925TAXI-OUT route and cancels the NO TAXI APPROVAL information alert.
- 1926 82. The NO TAXI APPROVAL information alert is removed from the ATCOs HMI.
- 1927 83. The Use Case resumes at step [31].
- 1928

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1929[31] While the Flight Crew taxies the aircraft according to the TAXI-OUT instructions received, the
assigned Departure runway gets closed

- 1931 84. The Tower Supervisor informs the system, via a system input, that the assigned departure runway for the aircraft is closed.
- 1933 85. The Conformance Monitoring function of the system detects that the assigned departure runway
 1934 for the aircraft is now closed and triggers a **RUNWAY CLOSED** information alert.
- 1935 86. The triggered RUNWAY CLOSED information alert is displayed on the ATCOs HMI.
- 1936 87. The Tower Ground Controller evaluates the situation and, if feasible, issues updated taxi
 1937 instructions including the assignment of another Departure runway to the Flight Crew. (normally
 1938 via R/T)
- 1939 88. The Tower Ground Controller updates the assigned departure runway and the cleared taxi-out route in the System.
- 1941 89. The Conformance Monitoring function detects the newly assigned departure runway and cancels1942 the alert.
- 1943 90. The RUNWAY CLOSED alert is removed from the ATCOs HMI.
- 1944 91. The Use Case resumes at step [31].

1945

1946[40] The Flight Crew taxies the aircraft beyond the Holding Point and lines up the aircraft without a1947line-up clearance (DOD - UC6 21 and UC6 31)

- 1948 92. The Conformance Monitoring function of the system detects that the aircraft is moving passed
 1949 the Holding point defined in the TAXI-OUT route and that, according to information contained on
 1950 the EFS no Line Up clearance has been given and triggers a **RWY INCURSION** alarm.
- 1951 93. The triggered **RWY INCURSION** alarm is displayed on the ATCOs HMI.
- 1952 94. The Tower Runway Controller evaluates the situation, take all actions necessary, and when
 1953 possible, delivers the Line up clearance via R/T
- 195495. The Tower Runway Controller updates the system by an input of a Line Up clearance on the1955EFS.
- 1956 96. The Conformance Monitoring function detects that the Line Up has been given and cancels the
 RWY INCURSION alarm.
- 1958 97. The **RWY INCURSION** alarm is removed from the ATCOs HMI.
- 1959 98. The Use Case continues at step [45].
- 1960 [93] An arriving aircraft is on short final approach and multiple alerts are triggered
- 1961 99. The arriving aircraft on short final and the aircraft lining up triggers a RMCA information alert which is displayed on the radar/track labels/EFS and Alert Window of the mobiles concerned (it replaces the **RWY INCURSION** alarm message for the aircraft on the runway). As a RMCA Information has higher priority than a CMAC alarm, the original **RWY INCURSION** alarm is only displayed in the Alert Window.

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- 1966 100. The Tower Runway Controller issues a GO AROUND instruction to the aircraft on Final 1967 Approach and the Flight Crew commences the GO AROUND procedure.
- As the approaching aircraft commences the GO AROUND it is still approaching the aircraft on 1968 101. the runway and the RMCA now triggers an ALARM alert for both mobiles which replaces the 1969 1970 RMCA information on the radar/track labels/EFS and Alert Window. As a RMCA alarm has higher priority than a CMAC alarm the original RWY INCURSION alarm is only displayed in the 1971 1972 Alert Window.
- 1973 102. The arriving aircraft passes the runway and climbs away, the RMCA alerts are no longer displayed and the CMAC RWY INCURSION alarm is re-displayed on the radar/track label/EFS 1974 1975 of the aircraft on the runway.
- 1976 103. The Use Case continues at step [94].
- 1977

1978 [50] The Flight Crew starts the take-off roll without a take off clearance and gets airborne

- 1979 The Conformance Monitoring function of the system detects that the aircraft has started the 104. take-off roll, based on surveillance data, and triggers a NO TAKE-OFF CLEARANCE alert. 1980
- 1981 The alert is an INFORMATION alert if, based on information on the Electronic Flight Strips, no 105. 1982 other aircraft/mobile is foreseen to use the same runway for landing, take-off, crossing or entering within a certain time parameter. 1983
- The alert is an ALARM if, based on information on the Electronic Flight Strips, another 1984 106. 1985 aircraft/mobile is foreseen to use the same runway for landing, take-off, crossing or entering 1986 within a certain time parameter.
- 1987 107. The triggered NO TAKE-OFF CLEARANCE alert is displayed on the ATCOs HMI.
- The Tower Runway Controller evaluates the situation, takes all actions necessary, and if 1988 108. possible, issues the take-off clearance via R/T, or waits until the aircraft is airborne and then 1989 informs the Flight Crew of the unauthorised take off. 1990
- 109. The Tower Runway Controller informs the system, via a system input, that the TAKE-OFF 1991 1992 clearance has been given to the Flight Crew.
- 1993 The Conformance Monitoring function detects that the take-off clearance has been given to 110. the aircraft and cancels the NO TAKE OFF CLEARANCE alert. 1994
- 111. The NO TAKE OFF CLEARANCE alert is removed from the ATCOs HMI. 1995
- 1996 112. The Use Case continues at step [52].
- 1997

1998 [50] The Flight Crew starts the take-off roll without a take off clearance and has to abort the take

- 1999
- off
- 2000 113. The Conformance Monitoring function of the system detects that the aircraft has started the take-off roll, based on surveillance data, and triggers a NO TAKE-OFF CLEARANCE alert. 2001
- 2002 The alert is an INFORMATION alert if, based on information on the Electronic Flight Strips, 114 2003 no other aircraft/mobile is foreseen to use the same runway for landing, take-off, crossing or 2004 entering within a certain time parameter.

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- The alert is an ALARM if, based on information on the Electronic Flight Strips, another
 aircraft/mobile is foreseen to use the same runway for landing, take-off, crossing or entering
 within a certain time parameter.
- 2008 116. The triggered NO TAKE-OFF CLEARANCE alert is displayed on the ATCOs HMI.
- 2009 117. The Tower Runway Controller evaluates the situation, and tells the Flight Crew to abort the 2010 take off via R/T.
- 2011 118. The Tower Runway Controller informs the system, via a system input, that the aircraft is 2012 aborting the take off.
- 119. The Conformance Monitoring function detects that an abort instruction has been given to the
 aircraft and cancels the NO TAKE OFF CLEARANCE alert.
- 2015 120. The NO TAKE OFF CLEARANCE alert is removed from the ATCOs HMI.
- 2016 121. The Flight Crew abort the take off roll, and vacate the runway.
- 2017122. The Tower Runway Controller instructs the Flight Crew via R/T to contact the Tower Ground2018Controller.
- 2019 123. The Use Case continues at step [29]
- 2020

2021 [53] The Tower Runway Controller forgets to transfer the aircraft to the Departure Controller

- 124. The Conformance Monitoring function of the system detects, using either the position of the aircraft or a time parameter after take-off that the Tower Runway Controller has not informed the system that the Flight Crew has been instructed to contact the Departure Controller.
- 2025 125. The triggered NO TRANSFER information alert is displayed on the ATCOs HMI.
- 2026126. The Tower Runway Controller instructs the Flight Crew via R/T or data link to contact the2027Departure Controller.
- 2028127. The Tower Runway Controller informs the system, via a system input, that the Flight Crew2029has been instructed to contact the Departure Controller.
- 2030 128. The NO TRANSFER information alert is removed from the ATCOs HMI.
- 2031 129. The Use Case continues at step [55].
- 2032

2033 Anywhere between [31] and [49] The Flight Crew taxi the aircraft with excessive speed

- 130. The Conformance Monitoring function of the system constantly monitors the speed of the
 aircraft and triggers a HIGH SPEED Information alert if the aircraft is detected moving with a
 speed greater than X knots (parameter) but less than Y (parameter greater than X) on a taxiway.
- 2037 131. The triggered HIGH SPEED information alert is displayed on the ATCOs HMI.
- 2038 132. The Tower Runway Controller evaluates the situation and takes all actions necessary.

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- 133. If the monitored speed exceeds Y knots (parameter), the Conformance monitoring function
 triggers a HIGH SPEED alarm.
- 2041 134. The triggered HIGH SPEED alarm is displayed on the ATCOs HMI.
- 2042 135. The Tower Runway Controller evaluates the situation and take all actions necessary.
- 136. The triggered HIGH SPEED alarm / information alert is cancelled if the speed of the aircraft detected falls below respectively X or Y knots or when the aircraft is detected to be airborne.
- 2045 137. The HIGH SPEED alarm / information alert is removed from the ATCOs HMI.
- 2046 138. The use case continues at step [50]

2048 Failure Flow

- 139. In the case where an alert is not triggered due to a system failure then the ATCO and Flight
 Crew will be relied upon to identify the non-conformance situation and resolve the problem as
 quickly and safely as possible. This is often the case today where these alerts do not exist.
- 2052140. In the case of a false alert the ATCO will assess the situation as soon as the alert is2053presented, and if the alert is deemed to be false, cancel the alert and inform the supervisor of the2054error.

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Project Number 06.07.01

Edition 00.01.01

D32 - Final OSED for Conflicting ATC Clearances and Conformance Monitoring Alerts for Controllers

6 Requirements 2056

2057 Eight requirements still have the status "In Progress" as it was not possible to validate them in the 2058 validations performed due to the operational layout of the airports being assessed and the test system 2059 being provided for the trials.

6.1 General Requirements for CATC and CMAC 2060 [REO]

Identifier	REQ-06.07.01-OSED-GENL.0001
Requirement	The Tower Supervisor / Tower controller shall have the means to replay any
	alert (including necessary information associated to the alert detected, e.g.
	aircraft positions, surrounding mobiles, closed/inactive RWYs/TWYs) that has
	been triggered
Title	Replay of Alerts
Status	<in progress=""></in>
Rationale	It is necessary to evaluate what happened when an alert has been triggered
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2062 2063

[REQ Trace]			
Rela ionship	Linked Element Type	Identifier	Compliance
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2064

2065

[REQ]	
Identifier	REQ-06.07.01-OSED-GENL.0002
Requirement	The Tower controller shall be presented with CATC, CMAC and RMCA alerts on their HMI and/or audibly.
Title	Reception of CATC, CMAC and RMCA alerts
Status	<validated></validated>
Rationale	To clearly state that CATC and CMAC are complementing and not replacing
	RMCA alerts.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2066 2067

[REQ Trace] Linked Element Type Rela ionship Identifier Compliance REQ-06.02-DOD-6200 0003 <SATISFIES> <ATMS Requirement> <Par ial> <APPLIES_TO> <Operational Focus Area> OFA01 02.01 N/A <APPLIED_IN_ENVIRONMENT> <Environment Class> Intercontinental Hub N/A <APPLIED_IN_ENVIRONMENT> <Environment Class> European Hub N/A <APPLIED_IN_ENVIRONMENT> <Environment Class> Primary Node N/A <APPLIED_IN_ENVIRONMENT> <Environment Class> Secondary Node N/A

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2069

[REQ]	
Identifier	REQ-06.07.01-OSED-GENL.0003
Requirement	The Tower controller shall be presented with RMCA alerts with a higher priority
	than CATC and CMAC alerts
Title	Priority of CATC, CMAC and RMCA alerts
Status	<validated></validated>
Rationale	To clearly state that RMCA alerts (especially the RMCA INFORMATION alert
	as this is an indication that a RMCA ALARM will trigger soon afterwards) have
	a higher priority compared to CATC and CMAC alerts.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

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2071 [F

[REQ Trace]			
Rela ionship	Linked Element Type	Identifier	Compliance
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2072

[REQ]	
Identifier	REQ-06.07.01-OSED-GENL.0004
Requirement	The Tower controller shall have a means to be warned about multiple alerts displayed on the HMI for either one mobile or more than one mobile within his/her AOR.
Title	Display of multiple alerts on HMI
Status	<validated></validated>
Rationale	The ATCO needs to have a means to be warned about all alerts that are triggered, this could be one mobile generating several alerts or several mobiles generating individual alerts or 2 mobiles involved in the same alert
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	
	[REQ] Identifier Requirement Title Status Rationale Category Validation Method Verification Method

2074 2075

[REQ Trace]

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[REQ]	
Identifier	REQ-06.07.01-OSED-GENL.0005
Requirement	The Tower controller shall be warned about an alert on the HMI associated
	with the mobile position and identification.
Title	Display of alerts on the mobile/s concerned
Status	<validated></validated>
Rationale	The relevant ATCO needs to have a means to be warned about which mobile is involved in an alert and what type of alert is being triggered. Local implementation will dictate on which controller role alerts shall be displayed (see section 3 for recommendations)
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

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[REQ Trace]			
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2080 2081

[REQ]	
Identifier	REQ-06.07.01-OSED-GENL.0006
Requirement	The Tower controller should have the means to be warned about all active alerts via a dedicated alert window. It is recommended that the window is positioned at a fixed location and is layered on top of any other windows. The alert window should not be too intrusive in case of complex and overloaded radar display.
Title	Alert Window for Tower controller
Status	<validated></validated>
Rationale	The ATCO needs to have a means to be warned about all active alerts in a dedicated window
Category	<hmi></hmi>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

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2083 [REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance
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5 [REQ]		
	Identifier	REQ-06.07.01-OSED-GENL.0007
	Requirement	The Tower controller shall be able to have a means to be warned about alerts on mobiles that are planned to enter his/her AOR. The alert may be shown as soon as it is triggered or within a certain distance or time before the AOR (local implementation rule)
Title Alert on mobiles planned to enter an AOR Status <validated></validated>		Alert on mobiles planned to enter an AOR
		<validated></validated>
	Rationale	The ATCO needs to be have a means to be warned about alerts on mobiles in (or about to enter) his/her AOR.
	Category	<operational></operational>
	Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
	Verification Method	

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[REQ Trace]			
Rela ionship	Linked Element Type	Identifier	Compliance
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2088 2089

[REQ]	
Identifier	REQ-06.07.01-OSED-GENL.0008
Requirement	The Tower controller shall receive CATC and CMAC alerts with different stages characterising the degree of importance of the alert. The alerts shall be either • INFORMATION or • ALARM (Based on local implementation decision)
Title	Stage of Alerts for CATC and CMAC
Status	<validated></validated>
Rationale	Characterize the degree of importance of the alert detected by the ATC system for CATC and CMAC alerts
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2090 2091

[REQ Trace]			
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2093

[REQ]			
Identifier	REQ-06.07.01-OSED-GENL.0009		
Requirement	The Tower controller shall receive an audio warning when the ATC system detects an ALARM alert. The type of audio warning and length of time it sounds for are matters of local implementation but it is recommended that the warning is different to other audio sounds in use in the Tower.		
Title	Audio Alert associated to a detected ALARM		
Status	<validated></validated>		
Rationale	Provision of an audio alert to the Tower Controller when the ATC system detects an ALARM to cope with the fact that the Tower Controller may not look at the screen when the error is detected		
Category	<functional></functional>		
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>		
Verification Method			

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2095 [RE

[REQ Trace]			
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2096 2097

[REQ]	
Identifier	REQ-06.07.01-OSED-GENL.0010
Requirement	The Tower Runway, Ground or Apron Controller shall receive an ALARM alert on the HMI with an "ALARM colour" (recommendation Red)
Title	A-SMGCS - ALARM alert colour
Status	<validated></validated>
Rationale	Characterize on the Tower Controller HMI the degree of importance of the alert
	detected by the A-SMGCS.
Category	<hmi></hmi>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

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2099 [RE

[REQ Trace]			
Rela ionship	Linked Element Type	Identifier	Compliance
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2100 2101

[REQ]	
Identifier	REQ-06.07.01-OSED-GENL.0011
Requirement	The Tower Runway, Ground or Apron Controller shall receive an INFORMATION alert on the HMI with an "INFORMATION colour" (recommendation Yellow)
Title	A-SMGCS - INFORMATION alert colour
Status	<validated></validated>
Rationale	Characterize on the Tower Controller HMI the degree of importance of the alert detected by the A-SMGCS.
Category	<hmi></hmi>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2102 2103 [REQ Trace]

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Rela ionship	Linked Element Type	Identifier	Compliance
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2104

2105

[REQ]	
Identifier	REQ-06.07.01-OSED-GENL.0012
Requirement	The Tower Supervisor or Tower controller shall be able to deactivate the
	detection (display) of CATC and CMAC alerts
Title	De-activation of CATC and CMAC alerts
Status	<validated></validated>
Rationale	The Supervisor or Tower Controller might require to disable alerts in case of an
	accident or incident on the airport surface
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

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[REQ Trace]			
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2108 2109

[REQ]	
Identifier	REQ-06.07.01-OSED-GENL.0013
Requirement	The Tower controller shall only receive alerts for which the alert triggering
	conditions are still valid and the terminating conditions are not satisfied yet.
Title	Removal of alerts which are no longer valid
Status	<validated></validated>
Rationale	The Tower Controller does not want to be warned about alerts displayed that
	are no longer valid
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2110

2111 [REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance
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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

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2113 [REQ]

Identifier	REQ-06.07.01-OSED-GENL.0014
Requirement	The Tower controller may have a means via the HMI to toggle between displaying or suppressing an INFORMATION alert message that is displayed on the radar/track label and EFS (suppression will be independent of other CWPs). (Note: When suppressed the details of the alert shall still be shown in the alert window).
Title	A-SMGCS - Suppression of an INFORMATION alert
Status	<validated></validated>
Rationale	The Controller might not be able to instantly resolve the situation but want to remove the indication of the alert from the radar/track label and EFS in order to reduce clutter.
Category	<hmi></hmi>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

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2115 [REQ Trace]

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Rela ionship	Linked Element Type	Identifier	Compliance
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<applied environment="" in=""></applied>	<environment class=""></environment>	Primary Node	N/A
<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

2116 2117

[REQ]	
Identifier	REQ-06.07.01-OSED-GENL.0015
Requirement	The Tower controller shall have a means via the HMI to cancel an ALARM alert audio buzzer that has been triggered. All visual representations of the alert shall remain until the situation has been resolved Note: If a different ALARM is triggered after the buzzer has been turned off then the buzzer will be re- activated
Title	A-SMGCS - Suppression of the ALARM Buzzer
Status	<validated></validated>
Rationale	The controller will instantly be warned of the ALARM situation and might prefer to silence the buzzer in order to prevent further distraction to him/her or other colleagues
Category	<hmi></hmi>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2118

2119 [REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance
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2121 [REQ]

Identifier	REQ-06.07.01-OSED-GENL.0016
Requirement	The Tower controller shall be able to have a means to be warned about alerts
	on mobiles that have left his/her AOR but are still on his/her frequency.
Title	Alert on mobiles having left an AOR but still on frequency
Status	<validated></validated>
Rationale	The ATCO needs to be have a means to be warned about alerts on mobiles that have left his/her AOR but that are still on frequency, this will be based on the status of the mobile on the EFS (e.g. assumed or transferred).
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2122

2123 [REQ Trace]

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Rela ionship	Linked Element Type	Identifier	Compliance
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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

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2125	
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[REQ]	
Identifier	REQ-06.07.01-OSED-GENL.0017
Requirement	The Supervisor shall have the means to be warned about all active alerts via a dedicated alert window.
Title	Alert Window for Supervisor
Status	<in progress=""></in>
Rationale	The Supervisor needs to be have a means to be warned about in a dedicated window all active alerts
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

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2127 [

[REQ Trace]			
Rela ionship	Linked Element Type	Identifier	Compliance
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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

2128 2129

[REQ]	
Identifier	REQ-06.07.01-OSED-GENL.0018
Requirement	The Tower Controller shall have the means to be warned about alerts in
	adjacent AoR on mobiles that are not planned to enter his/her AoR. It will be a
	local implementation decision on which alerts are displayed.
Title	Alert on mobiles operating in an adjacent AOR
Status	<validated></validated>
Rationale	The ATCO may need to be warned about alerts on mobiles in an adjacent AoR
	which could affect his/her operations.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2130 2131

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31	[REQ Trace]
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Rela ionship	Linked Element Type	Identifier	Compliance
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2132

2133

[REQ]	
Identifier	REQ-06.07.01-OSED-GENL.0019
Requirement	Local deployment shall have the choice to implement only a sub-set of CMAC
	and CATC alerts, depending on their local relevance.
Title	Local Deployment of CMAC and CATC
Status	<validated></validated>
Rationale	The deployment of CMAC and CATC on an airport shall be decided by local
	responsible authorities based on their own criteria.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2134 2135

[REQ Trace]			
Rela ionship	Linked Element Type	Identifier	Compliance
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<applied environment="" in=""></applied>	<environment class=""></environment>	Secondary Node	N/A

2136

2137 6.2 Requirements "Detection of Conflicting ATC Clearances"

Note 1: In the requirements for CATC where there is reference to Line Up this refers to a direct Line Up instruction and does not take into account Conditional Line Up inputs.

2140 Note 2: In each case it is deemed that the first clearance in the heading title is the one that has 2141 been input by the ATCO first and the second clearance triggers the alert.

2142

2143

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0001
Requirement	The Tower Runway Controller shall receive an alert when two aircraft receive clearances to line-up on the same runway, when multiple line-up is not authorised.
Title	Conflicting Clearance "Line-Up versus Line-Up" Case 1
Status	<validated></validated>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2144

2145 [REQ Trace]

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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

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2147 [REQ]

Identifier	REQ-06.07.01-OSED-CATC.0002
Requirement	The Tower Runway Controller shall receive an alert when two aircraft receive
	clearances to line-up from holding points which are situated on the opposite
	ends of the same runway.
Title	Conflicting Clearance "Line-Up versus Line-Up" Case 2
Status	<validated></validated>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2148

2149 [REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance
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2150 2151

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0003
Requirement	The Tower Runway Controller shall receive an alert when two aircraft receive clearances to line-up from holding points which are opposite each other on the same runway.
Title	Conflicting Clearance "Line-Up versus Line-Up" Case 3
Status	<validated></validated>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2152

2153

[REQ Trace]			
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2154 2155

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0004
Requirement	The Tower Runway Controller shall receive an alert when an aircraft and a mobile (aircraft or vehicle) receive Line-up and Cross clearances respectively and their holding points are opposite each other on the same runway. No alert is triggered if the aircraft lining up has reached a position (local parameter) where it is considered not to be an obstruction to the mobile crossing behind it.
Title	Conflicting Clearance "Line-Up versus Cross"
Status	<validated></validated>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2156 2157

[REQ Trace] Linked Element Type Rela ionship

Identifier

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Compliance

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2158 2159

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0005
Requirement	The Tower Runway Controller shall receive an alert when an aircraft and a mobile (aircraft or vehicle) receive Line-up and Enter clearances and holding points are opposite each other on the same runway. Alert shall not trigger if the mobile entered the runway first and the aircraft has enough space (local parameter) to line-up behind the mobile or the mobile enters behind the aircraft and moves away from it.
Title	Conflicting Clearance "Line-Up versus Enter"
Status	<validated></validated>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2160

2161 [REQ Trace]

ĺ	Rela ionship	Linked Element Type	Identifier	Compliance
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ĺ	<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Intercontinental Hub	N/A
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2162 2163

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0006
Requirement The Tower Runway Controller shall receive an alert when two aircraft receives and the planned runway entry point for aircraft that has the line-up clearance is in front of the aircraft receiving Take-Off clearance on the same runway.	
Title	Conflicting Clearance "Line-Up versus Take-Off" Case 1
Status	<validated></validated>
Rationale To avoid hazardous situation.	
Category <pre></pre> <pre><!--</td--></pre>	
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2164

2165

[REQ Trace]			
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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

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2166 2167

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0007
Requirement The Tower Runway Controller shall receive an alert when two aircraft Line-up and Take-Off clearances and the aircraft are at opposite ends	
	same runway.
Title	Conflicting Clearance "Line-Up versus Take-Off" Case 2
Status	<validated></validated>
Rationale	To avoid hazardous situation.
Category <operational></operational>	
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2168

[REQ Trace] 2169

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2170 2171

[REQ]		
Identifier	REQ-06.07.01-OSED-CATC.0008	
Requirement	The Tower Runway Controller shall receive an alert when two aircraft rece Line-up and Landing clearances and the planned runway entry point for aircraft that has the Line-Up clearance is in front of the aircraft receiving landing clearance on the same runway.	
Title	Conflicting Clearance "Line-Up versus Landing" Case 1	
Status	<validated></validated>	
Rationale	To avoid hazardous situation.	
Category	<operational></operational>	
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>	
Verification Method		

2172

2173 [REQ Trace]

[]			
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2174 2175

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0009
Requirement	The Tower Runway Controller shall receive an alert when two aircraft receive
	Line-up and Landing clearances and the aircraft receiving the clearances are
	at opposite ends of the same runway.
Title	Conflicting Clearance "Line-Up versus Landing" Case 2
Status	<validated></validated>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2176 2177

[REQ Trace]			
Rela ionship	Linked Element Type	Identifier	Compliance
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2178 2179

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0010
Requirement	The Tower Runway Controller shall receive an alert when two mobiles (at least
	one is an aircraft) both receive Cross clearances and holding points are
	directly opposite each other on the same runway.
Title	Conflicting Clearance "Cross versus Cross"
Status	<validated></validated>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2180

2181

[REQ Trace]			
Rela ionship	Linked Element Type	Identifier	Compliance
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<applied environment="" in=""></applied>	<environment class=""></environment>	Secondary Node	N/A

2182 2183

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0011
Requirement	The Tower Runway Controller shall receive an alert when two mobiles (at least one is an aircraft) receive Cross and Enter clearances and holding points are directly opposite each other on the same runway. Alert does not trigger if the first mobile entered the runway and the second one can cross behind the first one (distance will be determined locally).
Title	Conflicting Clearance "Cross versus Enter"
Status	<validated></validated>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2184

2185 [REQ Trace]

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Rela ionship	Linked Element Type	Identifier	Compliance
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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A
		· · · · · · · · · · · · · · · · · · ·	

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2188 [REQ]

Identifier	REQ-06.07.01-OSED-CATC.0012
Requirement	The Tower Runway Controller shall receive an alert when two mobiles (at least
	one is an aircraft) both receive Enter clearances and holding points are on opposite sides of the same runway. Alert does not trigger if the first mobile entered the runway and the second one can enter behind the first one (distance will be determined locally).
Title	Conflicting Clearance "Enter versus Enter"
Status	<validated></validated>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>

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2190

[REQ Trace]			
Rela ionship	Linked Element Type	Identifier	Compliance
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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

2191 2192

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0013
Requirement	The Tower Runway Controller shall receive an alert when a mobile (aircraft or vehicle) and an aircraft receive Cross and Take-Off clearances and the planned runway entry point for the mobile that has the Cross clearance is in front of the aircraft receiving the Take-Off clearance on the same runway. Local implementation - If the crossing mobile receives a transfer input before it has vacated the runway then surveillance may be used to maintain the CATC logic until the crossing mobile has vacated the runway. Alert does not trigger if the mobile crossing behind the aircraft is doing so at a distance where it is deemed safe to do so (distance will be determined locally).
Title	Conflicting Clearance "Cross versus Take-Off"
Status	<validated></validated>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2193

2194 [REQ Trace]

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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

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2196 [REQ]

Identifier	REQ-06.07.01-OSED-CATC.0014
Requirement	The Tower Runway Controller shall receive an alert when a mobile (aircraft or
	vehicle) and an aircraft receive Enter and Take-Off clearances respectively on
	the same runway. Alert does not trigger if the mobile position has passed the
	line up area and is moving in the opposite direction to the planned take off.
Title	Conflicting Clearance "Enter versus Take-Off"
Status	<validated></validated>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2197

2198 [REQ Trace]

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2199 2200

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0015
Requirement	The Tower Runway Controller shall receive an alert when a mobile (aircraft or vehicle) and an aircraft receive Cross and Landing clearances and the planned runway entry point for the mobile that has the Cross clearance is in front of the aircraft receiving the Landing clearance on the same runway, and the landing aircraft has either not landed or has landed and is not expected to vacate the runway before the crossing point based on a speed parameter. Surveillance will be used to determine if the Crossing mobile has vacated the runway protection area in which case no alert is triggered.
Title	Conflicting Clearance "Cross versus Landing"
Status	<validated></validated>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2201

2202 [REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance
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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

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2205

5	[REQ]	
	Identifier	REQ-06.07.01-OSED-CATC.0016
	Requirement	The Tower Runway Controller shall receive an alert when a mobile (aircraft or vehicle) and an aircraft receive Enter and Landing clearances and the planned runway entry point for the mobile that has the Enter clearance is in front of the aircraft receiving the Landing clearance on the same runway, and the landing aircraft has either not landed or has landed and is not expected to vacate the runway before the crossing point based on a speed parameter. Alert will also trigger if the aircraft has not landed and the mobile is one the runway in front of the landing aircraft. Surveillance will be used to determine if the mobile that is Entering has vacated the runway protection area in which case no alert is triggered.
	Title	Conflicting Clearance "Enter versus Landing"
	Status	<validated></validated>
	Rationale	To avoid hazardous situation.
	Category	<operational></operational>
	Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
	Verification Method	

2206 2207

207 |

[REQ Trace]			
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2208 2209

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0017
Requirement	The Tower Runway Controller shall receive an alert when two aircraft receive Take-Off clearances on the same runway (e.g. Take off RWY27 vs Take off RWY27). Alert shall not trigger if the first aircraft has reached a position (local parameter) where it is deemed safe for the second aircraft to be given take off clearance (whether the aircraft number 2 is not yet on the runway or already lined up).
Title	Conflicting Clearance "Take-Off versus Take-Off" Case 1
Status	<validated></validated>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2210

2211

[REQ Trace]			
Rela ionship	Linked Element Type	Identifier	Compliance
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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

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2213 [REQ]

Identifier	REQ-06.07.01-OSED-CATC.0018
Requirement	The Tower Runway Controller shall receive an alert when two aircraft receive
	Take-Off clearances on different but converging runways and aircraft air
	trajectories are converging.
Title	Conflicting Clearance "Take-Off versus Take-Off" Case 2
Status	<in progress=""></in>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2214

2215 [REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance
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2216 2217

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0019
Requirement	The Tower Runway Controller shall receive an alert when two aircraft receive Take-Off clearances on different but intersecting runways and aircraft ground trajectories are converging.
Title	Conflicting Clearance "Take-Off versus Take-Off" Case 3
Status	<validated></validated>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<real simulation="" time=""></real>
Verification Method	

2218 2219

[REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance
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2220 2221

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0020
Requirement	The Tower Runway Controller shall receive an alert when two aircraft receive Take-Off clearances and are at opposite ends of the same runway.(e.g Take off RWY27 vs Take off RWY09)
Title	Conflicting Clearance "Take-Off versus Take-Off" Case 4
Status	<validated></validated>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2222

2223 [REQ Trace]

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Rela ionship	Linked Element Type	Identifier	Compliance
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<applied environment="" in=""></applied>	<environment class=""></environment>	Primary Node	N/A
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2224 2225

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0021
Requirement	The Tower Runway Controller shall receive an alert when two aircraft receive Take-Off and Land clearances on the same runway (e.g. Take off RWY27 then Land RWY27), and the aircraft taking off has not reached a certain position and/or speed (local parameters).
Title	Conflicting Clearance "Take-Off then Land" Case 1
Status	<validated></validated>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2226

2227 [REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance
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2228 2229

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0022
Requirement	The Tower Runway Controller shall receive an alert when two aircraft receive Take-Off and Land clearances on different but intersecting runways and aircraft ground trajectories are converging, and the aircraft taking off has not reached a certain position or speed (parameter).
Title	Conflicting Clearance "Take-Off then Land" Case 2
Status	<validated></validated>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<real simulation="" time=""></real>
Verification Method	

2230

2230 [REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance
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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

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2233

[REQ]

Identifier	REQ-06.07.01-OSED-CATC.0055
Requirement	The Tower Runway Controller shall receive an alert when two aircraft receive
	Take-Off and Land clearances on different runways and the aircraft air
	trajectories are converging. Local parameters will dictate when the alert will
	trigger based on the position of the aircraft (e.g. if the second aircraft performs
	a go around and the aircraft taking off has not reached a certain position or
	speed then the trajectories could meet at the upwind end of the runways)
Title	Conflicting Clearance "Take-Off then Land" Case 3
Status	<in progress=""></in>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2234

2235 [REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance
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2236 2237

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0023
Requirement	The Tower Runway Controller shall receive an alert when two aircraft receive Land and Take-Off clearances and are at opposite ends of the same runway
	(e.g. Land RWY27 vs Take off RWY09).
Title	Conflicting Clearance "Take-Off versus Land in opposite direction"
Status	<validated></validated>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2238

2239

[REQ Trace]			
Rela ionship	Linked Element Type	Identifier	Compliance
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2240 2241

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0024
Requirement	The Tower Runway Controller shall receive an alert when two aircraft receive Land clearances on the same runway (e.g. Land RWY27 vs Land RWY27). Note: In this case Cleared to Land also includes an aircraft that has Landed on the runway and not yet vacated the runway protection area.
Title	Conflicting Clearance "Land versus Land" Case 1
Status	<validated></validated>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2242 2243

[REQ Trace]



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Rela ionship	Linked Element Type	Identifier	Compliance
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2244 2245

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0025
Requirement	The Tower Runway Controller shall receive an alert when two aircraft receive
	Land clearances on different but intersecting runways and aircraft ground
	trajectories are converging.
Title	Conflicting Clearance "Land versus Land" Case 2
Status	<validated></validated>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<real simulation="" time=""></real>
Verification Method	

2246

2247

[REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance
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2248 2249

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0056
Requirement	The Tower Runway Controller shall receive an alert when two aircraft receive Land and Take-Off clearances on the same runway or the opposite end of the runway (e.g. Land RWY27 then Take off RWY27 or RWY09). Note: In this case Cleared to Land also includes an aircraft that has Landed on the runway and not yet vacated the runway protection area.
Title	Conflicting Clearance "Land then Take-Off" Case 1
Status	<validated></validated>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2250 2251

[REQ Trace]			
Rela ionship	Linked Element Type	Identifier	Compliance
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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

2252 2253

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2254 [REQ]

Identifier	REQ-06.07.01-OSED-CATC.0057
Requirement	The Tower Runway Controller shall receive an alert when two aircraft receive
	Land and Take-Off clearances on different but intersecting runways and
	aircraft ground trajectories are converging, and the landing aircraft has not
	reached a certain position or speed (local parameter).
Title	Conflicting Clearance "Land then Take-Off" Case 2
Status	<validated></validated>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<real simulation="" time=""></real>
Verification Method	

2255

2256

[REQ Trace]			
Rela ionship	Linked Element Type	Identifier	Compliance
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2257 2258

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0058
Requirement	The Tower Runway Controller shall receive an alert when two aircraft receive Land and Take-Off clearances on different but converging runways and aircraft air trajectories are converging, in case of a go around and the landing aircraft has not reached a certain position or speed (parameter).
Title	Conflicting Clearance "Land then Take-Off" Case 3
Status	<in progress=""></in>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>

2259

2260 [REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance
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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

2261 2262

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0059
Requirement	The Tower Runway Controller shall receive an alert when two aircraft receive Land and Take-Off clearances on different but closely spaced parallel runways, which are not independent towards wake turbulence. Specific parameters have to be defined according to local procedures.
Title	Conflicting Clearance "Land then Take-Off" Case 4
Status	<in progress=""></in>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2263 2264

[REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance



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<satisfies></satisfies>	<atms requirement=""></atms>	REQ-06.02-DOD-6200 0003	<par ial=""></par>
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2265 2266

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0060
Requirement	The Tower Runway Controller shall receive an alert when two aircraft receive Land clearances on different but converging runways and aircraft air trajectories are converging in case of a go around.
Title	Conflicting Clearance "Land versus Land" Case 3
Status	<in progress=""></in>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2267

2268 [REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance
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2269 2270

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0061
Requirement	The Tower Runway Controller shall receive an alert when two aircraft receive Land clearances and are at opposite ends of the runway.(e.g Land RWY27 vs Land RWY09).
Title	Conflicting Clearance "Land versus Land" Case 4
Status	<validated></validated>
Rationale	To avoid hazardous situation.
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2271

2272

[REQ Trace]			
Rela ionship	Linked Element Type	Identifier	Compliance
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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

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2275 6.3 HMI Requirements "Detection of Conflicting ATC

2276 Clearances"

2277

2278

7 8 [REQ]

Identifier	REQ-06.07.01-OSED-CATC.0026
Requirement	The Tower Runway Controller shall be able to input Line-Up clearance in the
	ATC system via the HMI.
Title	Line-Up clearance Input
Status	<validated></validated>
Rationale	Permit the Tower Runway Controller to input the ATC clearance given to
	mobile by voice in the system via the HMI.
Category	<hmi></hmi>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2279

2280

[REQ Trace]			
Rela ionship	Linked Element Type	Identifier	Compliance
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2281 2282

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0027
Requirement	The Tower Runway Controller shall be able to input Conditional Line-Up
	clearance together with the conditional aircraft in the ATC system via the HMI.
Title	Conditional Line-Up clearance Input
Status	<validated></validated>
Rationale	Permit the Tower Runway Controller to input the ATC clearance given to
	mobile by voice in the system via the HMI.
Category	<hmi></hmi>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2283 2284

Rela ionship	Linked Element Type	Identifier	Compliance
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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

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2287

Identifier	REQ-06.07.01-OSED-CATC.0028
Requirement	The Tower Runway Controller shall be able to input Take-Off clearance in the
	ATC system via the HMI.
Title	Take-Off clearance Input
Status	<validated></validated>
Rationale	Permit the Tower Runway Controller to input the ATC clearance given to
	mobile by voice in the system via the HMI.
Category	<hmi></hmi>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2288 2289

[REQ Trace]

[REQ]

Rela ionship	Linked Element Type	Identifier	Compliance
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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

2290 2291

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0029
Requirement	The Tower Runway Controller shall be able to input a Cleared to Land clearance in the ATC system via the HMI.
Title	Land clearance Input
Status	<validated></validated>
Rationale	Permit the Tower Runway Controller to input the ATC clearance given to mobile by voice in the system via the HMI.
Category	<hmi></hmi>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2292 2293

[REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance
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2294 2295

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0030
Requirement	The Tower Runway Controller shall be able to input a Cross clearance in the ATC system via the HMI.
Title	Cross clearance Input
Status	<validated></validated>
Rationale	Permit the Tower Runway Controller to input the ATC clearance given to mobile by voice in the system via the HMI.
Category	<hmi></hmi>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2296

2297 [REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance
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<applied environment="" in=""></applied>	<environment class=""></environment>	Primary Node	N/A
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2298 2299

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0031
Requirement	The Tower Runway Controller shall be able to input an Enter clearance in the
	ATC system via the HMI.
Title	Enter clearance Input
Status	<validated></validated>
Rationale	Permit the Tower Runway Controller to input the ATC clearance given to
	mobile by voice in the system via the HMI.
Category	<hmi></hmi>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2300 2301

[REQ Trace]			
Rela ionship	Linked Element Type	Identifier	Compliance
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2302 2303

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0052
Requirement	The Tower Runway Controller shall have a means to be warned by the HMI on
	which clearances are conflicting and the callsigns of the mobiles involved.
Title	Display of CATC on the Tower Runway Controller HMI
Status	<validated></validated>
Rationale	The Tower Runway Controller needs to know which clearances are conflicting
	and the identification of the mobiles involved.
Category	<hmi></hmi>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2304

2305 [REQ Trace]

[]			
Rela ionship	Linked Element Type	Identifier	Compliance
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2306 2307

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0062
Requirement	The Tower Runway Controller may be able to see an indicator next to a
	clearance button that signifies that if that specific clearance is input it will
	trigger a CATC alert
Title	Indicator for a potential CATC on the Tower Runway Controller HMI
Status	<validated></validated>
Rationale	The Tower Runway Controller should have an indication on the HMI to show
	that a potential CATC could be triggered if they make a certain input
Category	<hmi></hmi>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2308 2309

[REQ Trace]



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Rela ionship	Linked Element Type	Identifier	Compliance
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2310 2311

[REQ]	
Identifier	REQ-06.07.01-OSED-CATC.0063
Requirement	The Tower Runway Controller may be asked to confirm via the HMI that if that
	specific clearance is input it will trigger a CATC alert
Title	Confirmation for a potential CATC on the Tower Runway Controller HMI
Status	<validated></validated>
Rationale	The Tower Runway Controller should be asked to confirm the clearance input
	via the HMI to show that a potential CATC could be triggered if they continue
	the input
Category	<htested like="" stat<="" state="" td=""></htested>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2312

2313 [REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance
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6.4 Requirements for "Non Conformance to ATC instructions 2316

and/or procedures" 2317

2318

[REQ]	
Identifier	REQ-06.07.01-OSED-CMAC.0001
Requirement	The Tower controller shall receive an alert when a mobile is deviating by x or more metres (x parameter) from its cleared taxi route. The recommended maximum value of 'x' is 25 metres. It is recommended that this alert is suppressed for aircraft that are lining up on the runway to avoid nuisance alerts.
Title	A-SMGCS - Route deviation detection
Status	<validated></validated>
Rationale	Inform the Tower Runway or Ground Controller that a mobile is deviating from
	its cleared taxi route.
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

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[REQ]	Γra

[REQ Trace]			
Rela ionship	Linked Element Type	Identifier	Compliance
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2321 2322

[REQ]	
Identifier	REQ-06.07.01-OSED-CMAC.0002
Requirement	The Tower controller shall receive an alert when an aircraft is moving from its stand where a Push-back is required without having received a "Push-back" instruction
Title	A-SMGCS - Push-back without authorisation detection
Status	<validated></validated>
Rationale	Inform the Tower Ground Controller that an aircraft is pushing back without authorisation.
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2323 2324

[REQ Trace]			
Rela ionship	Linked Element Type	Identifier	Compliance
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2325 2326

[REQ]	
Identifier	REQ-06.07.01-OSED-CMAC.0003
Requirement	The Tower controller shall receive an alert when an aircraft is moving on the taxiway without having received a "Taxi" instruction
Title	A-SMGCS - Taxiing without authorisation detection
Status	<validated></validated>
Rationale	Inform the Tower Ground or Runway Controller that an aircraft is taxiing without authorisation.
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

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2328 [l

[REQ Trace]			
Rela ionship	Linked Element Type	Identifier	Compliance
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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

2329 2330

523	
330	[REQ]
	Identifi

Identifier	REQ-06.07.01-OSED-CMAC.0004
Requirement	The Tower controller shall receive an alert when a mobile does not move after
	X seconds (e.g. X= 90 seconds for PUSH/TAXI/CROSS/ENTER and 120
	seconds for LINE UP and TAKE OFF) having received an instruction to push-
	back, taxi, line-up, cross, or take-off). The time parameter X seconds can be
	different according to the clearance type.
Title	A-SMGCS - Stationary mobile detection
Status	<validated></validated>
Rationale	Inform the Tower Ground or Runway Controller that a mobile is stationary after
	having received an instruction to proceed.
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2331 2332

[REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance
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2333 2334

[REQ]	
Identifier	REQ-06.07.01-OSED-CMAC.0005
Requirement	The Tower controller shall receive an alert when an aircraft is approaching the runway threshold for landing from $X (X = time \text{ or distance local parameter})$ and no contact indication has been input by the ATCO via the HMI.
Title	A-SMGCS - Landing on a runway without contact detection
Status	<validated></validated>
Rationale	Inform the Tower Runway Controller that an aircraft is about to land and is not on the R/T frequency
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2335

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[REQ Trace]			
Rela ionship	Linked Element Type	Identifier	Compliance
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2337 2338

[REQ]	
Identifier	REQ-06.07.01-OSED-CMAC.0006
Requirement	The Tower controller shall receive an alert when a mobile is entering the Runway Protection Area without having received one of the following clearances: line-up, take off, cross or enter. Note: If runway Stop bars are in use the detection is the crossing of a lit stop bar and if they are not in use the detection is crossing a defined point without a suitable clearance.
Title	A-SMGCS - Runway Incursion
Status	<validated></validated>
Rationale	Inform the Tower Runway Controller that a mobile is entering the RPA without an appropriate clearance.
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2339

2340 [REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance
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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Primary Node	N/A
<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

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2341 2342

[REQ]	
Identifier	REQ-06.07.01-OSED-CMAC.0009
Requirement	The Tower controller shall receive an alert when an aircraft is Taking-Off without a Take Off Clearance. The triggering event can be when the aircraft is detected at a specific speed (e.g. >20kts) and/or its surveillance position is detected rolling out of a defined area/s on a runway without having received a Take Off Clearance "or a "Taxi on the runway" instruction. The defined area is normally the line up positions on the runway.
Title	A-SMGCS - Taking-off from a runway without clearance detection
Status	<validated></validated>
Rationale	Inform the Tower Runway Controller that an aircraft is initiating a take off from a runway without having received a "Take-Off" instruction
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2343

2344 [REQ Trace]

ſ	Rela ionship	Linked Element Type	Identifier	Compliance
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ĺ	<applies_to></applies_to>	<operational area="" focus=""></operational>	OFA01 02.01	N/A
	<applied environment="" in=""></applied>	<environment class=""></environment>	Intercontinental Hub	N/A
ſ	<applied_in_environment></applied_in_environment>	<environment class=""></environment>	European Hub	N/A
ĺ	<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Primary Node	N/A
	<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

2345 2346

[REQ]	
Identifier	REQ-06.07.01-OSED-CMAC.0010
Requirement	The Tower controller shall receive an alert when an aircraft is $X (X = time and/or distance local parameter)$ from the runway threshold for landing without having received a "Clear to Land" or "Go Around" instruction.
Title	A-SMGCS - Landing on a runway without instruction detection
Status	<validated></validated>
Rationale	Inform the Tower Runway Controller that an aircraft is initiating a landing procedure on a runway without having received a "Clear to Land" instruction
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2347

2348 [R

[REQ Trace]			
Rela ionship	Linked Element Type	Identifier	Compliance
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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

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2349 2350

[REQ]	
Identifier	REQ-06.07.01-OSED-CMAC.0011
Requirement	The Tower controller shall receive an alert when an aircraft is lining-up on a runway that differs from the assigned runway indicated by the FDP.
Title	A-SMGCS - Lining-up on a wrong runway detection
Status	<validated></validated>
Rationale	Inform the Tower Runway Controller that an aircraft is lining-up on a wrong
	runway.
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2351

2352 [

[REQ Trace]			
Rela ionship	Linked Element Type	Identifier	Compliance
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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

2353

[REQ]	
Identifier	REQ-06.07.01-OSED-CMAC.0012
Requirement	The Tower controller shall receive an alert when an aircraft is crossing a lit red stop bar situated at an Intermediate Holding Point or at the limit between control positions areas of responsibility.
Title	A-SMGCS - Red stop bar crossing detection
Status	<validated></validated>
Rationale	Inform the Tower Runway or Ground Controller that an aircraft is crossing a lit red stop bar situated at an Intermediate Holding Point or at the limit between control positions areas of responsibility.
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2355 2356

6 [REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance
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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

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2357 2358

[REQ]	
Identifier	REQ-06.07.01-OSED-CMAC.0013
Requirement	The Tower controller shall receive an alert when the Cleared or pending route for an aircraft includes a non-suitable taxiway. The parameters to check are
	aircraft type/weight/wingspan compared to the taxiway capabilities described in local airport manual or in compliance with procedures currently in force.
Title	A-SMGCS - Non-suitable taxiway detection
Status	<validated></validated>
Rationale	Inform the Tower Controller that the planned or cleared route of an aircraft
	includes a non-suitable taxiway or one that is subject to temporary restrictions.
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2359

2360 [REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance
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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

2361 2362

[REQ]	
Identifier	REQ-06.07.01-OSED-CMAC.0014
Requirement	The Tower controller shall receive an alert when a non-suitable runway is assigned to an aircraft. The parameters to check are aircraft type compared to local airport procedures.
Title	A-SMGCS - Non-suitable runway detection
Status	<validated></validated>
Rationale	Inform the Tower Controller that a non-suitable runway is assigned to an aircraft.
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2363 2364

[REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance
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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Primary Node	N/A
<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

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2365 2366

[REQ]	
Identifier	REQ-06.07.01-OSED-CMAC.0015
Requirement	The Tower controller shall receive an alert when a cleared or pending route of an aircraft /aircraft being towed will pass through a taxiway that is closed after the route has been assigned
Title	A-SMGCS - Closed taxiway detection
Status	<validated></validated>
Rationale	Inform the Tower Controller that the planned or cleared route will include a closed taxiway.
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

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[REQ Trace]			
Rela ionship	Linked Element Type	Identifier	Compliance
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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

2369 2370

[REQ]	
Identifier	REQ-06.07.01-OSED-CMAC.0016
Requirement	The Tower controller shall receive an alert when a runway is assigned to an aircraft/aircraft being towed and then closed after the runway has been assigned. A time and distance parameter may be used to avoid showing alerts on aircraft that are at a local specified distance from landing.
Title	A-SMGCS - Closed runway detection
Status	<validated></validated>
Rationale	Inform the Tower Controller that a closed runway is assigned to an aircraft/aircraft being towed.
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2371 2372

[REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance
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founding members



2373 2374

[REQ]	
Identifier	REQ-06.07.01-OSED-CMAC.0017
Requirement	The Tower controller shall receive an alert when an aircraft is taxiing at a speed greater than a locally defined parameter for the airport. The system can be tuned to detect different speeds that would trigger either an information alert or an alarm (local implementation e.g. Information Alert when speed >40kts and Alarm when speed >55kts.)
Title	A-SMGCS - Excessive speed detection
Status	<validated></validated>
Rationale	Inform the Tower Controller that an aircraft is taxing too fast on a taxiway.
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2375

2376 [RE

[REQ Trace]			
Rela ionship	Linked Element Type	Identifier	Compliance
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2377 2378

[REQ]	
Identifier	REQ-06.07.01-OSED-CMAC.0023
Requirement	For every non-conformance to ATC procedure or instruction detected by the A-SMGCS, the Tower controller shall receive an alert message, either visual or visual and audible, indicating the mobile(s) involved and the type of non-conformance (according to local procedures).
Title	A-SMGCS - Non-Conformance Messages on the Controller HMI
Status	<validated></validated>
Rationale	Update the controller's situational awareness by displaying a message indicating the mobile(s) involved and the type of non-conformance detected.
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2379 2380

[REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance
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2381 2382

2383

[REQ]	
Identifier	REQ-06.07.01-OSED-CMAC.0024
Requirement	The Tower controller should receive an alert when an aircraft is aligned for
	landing on a runway different to the assigned runway.
Title	A-SMGCS – Landing on the wrong runway
Status	<in progress=""></in>
Rationale	Inform the Tower Controller that an aircraft is aligned to land on the wrong
	runway.
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2384

2385

[REQ Trace]			
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2386 2387

[REQ]	
Identifier	REQ-06.07.01-OSED-CMAC.0025
Requirement	A Tower controller shall receive an alert when the A-SMGCS detects that a
	mobile is not conforming to an instruction or procedure
Title	Non-conformance to ATC instruction or procedure
Status	<validated></validated>
Rationale	Inform the ATCO that a mobile is not conforming to an instruction or procedure
Category	<operational></operational>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2388 2389

39 [REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance
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2390 2391

2392 2393

[REQ]	
Identifier	REQ-06.07.01-OSED-CMAC.0028
Requirement	The Tower controller shall receive an alert when a departing aircraft is X nm (X = local parameter) from the departure runway or is passing a specified altitude without having received an instruction to change frequency to the departure controller.
Title	A-SMGCS – No Transfer Out alert
Status	<validated></validated>
Rationale	Inform the Tower Runway Controller that an aircraft has departed and has not received the instruction to change to the next frequency within a certain distance or altitude.
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

[REQ Trace]



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Rela ionship	Linked Element Type	Identifier	Compliance
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2394 2395

[REQ]	
Identifier	REQ-06.07.01-OSED-CMAC.0029
Requirement	The Tower controller shall receive an alert when a mobile that has vacated a
	runway has stopped within the runway protection area (e.g. for 15 seconds or
	more) and is a potential hazard to arriving or departing aircraft.
Title	A-SMGCS – Stationary in RPA mobile detection
Status	<validated></validated>
Rationale	Inform the Tower Runway Controller that a mobile is stationary in the Runway
	Protection Area. This could indicate that the Flight Crew or Vehicle Driver is
	unsure about their position or have a technical problem.
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2396

2397 [REQ Trace]

[]				
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2398 2399

[REQ]	
Identifier	REQ-06.07.01-OSED-CMAC.0031
Requirement	The Tower controller should receive either an INFORMATION or ALARM alert depending on Local Decision for implementation for the following Conformance Monitoring alerts: "Route Deviation" and "No Landing Clearance"
Title	Conformance Monitoring Alerts Type 1
Status	<validated></validated>
Rationale	Project recommendation for alert type for Conformance Monitoring Alerts
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2400 2401

[REQ Trace]			
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2402 2403

[REQ]	
Identifier	REQ-06.07.01-OSED-CMAC.0032
Requirement	The Tower controller should receive an INFORMATION for the following Conformance Monitoring alerts: "No Push-Back approval", "No Taxi approval", "Stationary" (outside the RPA), "No Contact", "No Transfer".
Title	Conformance Monitoring Alerts Type 2
Status	<validated></validated>
Rationale	Project recommendation for alert type for Conformance Monitoring Alerts
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2404

2405 [REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance
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2406 2407

[REQ]	
Identifier	REQ-06.07.01-OSED-CMAC.0033
Requirement	The Tower controller should receive an ALARM alert for the Conformance
	Monitoring alerts "No Enter or Cross Clearance", "No Take-Off Clearance",
	"Red Stop bar crossed, "Stationary" (inside the RPA) and "Runway Incursion".
Title	Conformance Monitoring Alerts Type 3
Status	<validated></validated>
Rationale	Project recommendation for alert type for Conformance Monitoring Alerts
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2408 2409

[REQ Trace]

L 2			
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2410 2411

[REQ]	
Identifier	REQ-06.07.01-OSED-CMAC.0034
Requirement	The Tower controller should receive either an INFORMATION or ALARM alert depending on whether other traffic is known within or planned to enter RPA within a specified time for the following Conformance Monitoring alerts "Landing on Wrong Runway" and "Lining-Up on wrong runway".
Title	Conformance Monitoring Alerts Type 4
Status	<validated></validated>
Rationale	Project recommendation for alert type for Conformance Monitoring Alerts
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

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2413 [REQ Trace]

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2414 2415

[REQ]	
Identifier	REQ-06.07.01-OSED-CMAC.0035
Requirement	The Tower controller should receive either an INFORMATION or ALARM alert depending on whether the aircraft is planned to use the runway/taxiway or is actually on the runway/taxiway for the following Conformance Monitoring alerts "Runway Type or Taxiway Type".
Title	Conformance Monitoring Alerts Type 5
Status	<validated></validated>
Rationale	Project recommendation for alert type for Conformance Monitoring Alerts
Category	<functional></functional>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

2416

2417 [REQ Trace]

Rela ionship	Linked Element Type	Identifier	Compliance
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2418 2419

,		
)	[REQ]	
	Identifier	REQ-06.07.01-OSED-CMAC.0036
	Requirement	The Tower controller should receive either an INFORMATION or ALARM alert depending on whether the aircraft is planned to use the runway/taxiway or is actually present on the runway/taxiway for the following Conformance Monitoring alerts "Runway Closed" and "Taxiway Closed".
	Title	Conformance Monitoring Alerts Type 6
	Status	<validated></validated>
	Rationale	Project recommendation for alert type for Conformance Monitoring Alerts
	Category	<functional></functional>
	Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
	Verification Method	

2420 2421

[REQ Trace]			
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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

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2422 2423

[REQ]	
Identifier	REQ-06.07.01-OSED-CMAC.0037
Requirement	The Tower controller should receive either an INFORMATION or ALARM alert depending on the aircraft speed for the following Conformance Monitoring alert "High Speed".
Title	Conformance Monitoring Alerts Type 7
Status	<validated></validated>
	(Vandatour
Rationale	Project recommendation for alert type for Conformance Monitoring Alerts
Rationale Category	Project recommendation for alert type for Conformance Monitoring Alerts <functional></functional>
Rationale Category Validation Method	Project recommendation for alert type for Conformance Monitoring Alerts <functional> <shadow mode=""><real simulation="" time=""></real></shadow></functional>

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Rela ionship	Linked Element Type	Identifier	Compliance
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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

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Edition 00.01.01 Project Number 06.07.01 D32 - Final OSED for Conflicting ATC Clearances and Conformance Monitoring Alerts for Controllers

6.5 HMI Requirements "Non Conformance to ATC instructions 2428 and/or procedures"

		· · · · · · · · · · · · · · · · · · ·				
2430	[REQ]					
	Identifier	REQ-06.07.01-OSED-CMAC.0039				
2430	Requirement	 The Tower controller shall be able to identify the type of alert detected by the syst via the text displayed on the HMI, being this text clear and unambiguous. The text may be one of the two possibilities presented hereunder. Other options n be defined based on local implementation preferences. 				
		Conformance Monitoring alerts	Text 1	Text 2		
		Route deviation alert	ROUTE DEV	ROUTE DEV		
		No pushback approval	NO CLEARANCE	NO PUSH CLR		
		No taxi approval	NO CLEARANCE	NO TAXI CLR		
		Stationary (outside RPA)	STATIONARY	STATIONARY		
		Stationary (inside RPA)	STATIONARY	STATIONARY RPA		
		No contact	NO CONTACT	NO CONTACT		
		No transfer	NO TRANSFER	TRANSFER?		
		No line-up clearance	RWY INCURSION	NO LUP CLR		
		No crossing clearance	RWY INCURSION	NO CROSS CLR		
		No enter clearance	RWY INCURSION	NO ENTER CLR		

2429

	No pushback approval	NO CLEARANCE	NO PUSH CLR
	No taxi approval	NO CLEARANCE	NO TAXI CLR
	Stationary (outside RPA)	STATIONARY	STATIONARY
	Stationary (inside RPA)	STATIONARY	STATIONARY RPA
	No contact	NO CONTACT	NO CONTACT
	No transfer	NO TRANSFER	TRANSFER?
	No line-up clearance	RWY INCURSION	NO LUP CLR
	No crossing clearance	RWY INCURSION	NO CROSS CLR
	No enter clearance	RWY INCURSION	NO ENTER CLR
	No take-off clearance	NO CLEARANCE	NO TOF CLR
	No landing clearance	NO CLEARANCE	NO LND CLR
			LND WRONG
	Landing on wrong runway	WRONG RWY	RWY?
			RED STOP BAR
	Red stop bar crossed (intermediate HP)	NO CLEARANCE	CROSSED
	Red stop bar crossed (runway HP)	RWY INCURSION	NO LUP CLR
			LUP WRONG
	Lining up on wrong runway	WRONG RWY	RWY?
	Runway incursion	RWY INCURSION	RWY INCURSION
	Taxiway type	TWY TYPE	TWY TYPE
	Runway type	RWY TYPE	RWY TYPE
	Taxiway closed	TWY CLOSED	TWY CLOSED
	Runway closed	RWY CLOSED	RWY CLOSED
	High speed	HIGH SPEED	HIGH SPEED
Title	A-SMGCS – Text on controller HMI for M	Ion Conformance A	lerts in radar/track
into	label		
Status	<validated></validated>		
Rationale	By looking at the HMI, the controller will inst a particular mobile.	antly see what type o	f alert is detected for
Category	<hmi></hmi>		
Validation	<shadow mode=""><real simulation="" time=""></real></shadow>		

Verification

Method

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2431

Method

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[REQ Trace]				
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2434	[REQ]
2101	[

Identifier	REQ-06.07.01-OSED-CMAC.0040		
Requirement	When several alerts are detected for the same mobile, the Tower control shall be presented, in the mobile radar/track label and or EFS on the HMI, w the text of the alert having the highest priority. The priorities may be defined as presented hereunder. Other options may defined based on local implementation preferences.		
	Priority 1 is higher than priority 2	Proposed Priority of Text in	
	etc;Alerting situations	radar/track label	
	RMCA ALARM	1	
	RMCA INFORMATION	2	
	No take-off clearance	3	
	Runway incursion	4	
	Runway closed Alarm	5	
	Runway or taxiway type (runway type)	6	
	Stationary (inside RPA)	7	
	No landing clearance Alarm	8	
	Landing on wrong runway	9	
	Lining up on wrong runway	10	
	Route deviation Alarm	11	
	Red stop bar crossed (intermediate HP)	12	
	Runway or taxiway type (taxiway type)	13	
	Taxiway closed Alarm	14	
	High speed Alarm	15	
	Runway closed Information	16	
	Runway or taxiway type (runway type)		
	Alarm	17	
	No landing clearance Information	18	
	No transfer	19	
	No contact	20	
	Route deviation Information	21	
	Runway or taxiway type (taxiway type)		
	Information	22	
	Taxiway closed Information	23	
	Stationary (outside RPA)	24	
	High speed Information	25	
	No taxi approval	26	
	No pushback approval	27	
Title	A-SMGCS – Priorities of Alert text on con Alerts in radar/track label and or EFS.	troller HMI for Non Conformation	
Status	<validated></validated>		
Rationale	By looking at the HMI, the controller will in and or EFS the alert with the highest priority	nstantly see in the radar/track la	
Category	<hmi></hmi>		
Validation Method	<snadow woode=""><keal simulation="" time=""></keal></snadow>		

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2436 [REQ Trace]

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2437

2438

[REQ]

Identifier	REQ-06.07.01-OSED-CMAC.0041
Requirement	The Tower Runway Controller shall be able to input a Go Around instruction in
	the ATC system via the HMI.
Title	Go Around instruction Input
Status	<validated></validated>
Rationale	Permit the Tower Runway Controller to input the Go Around instruction given to
	an aircraft by voice in the system via the HMI.
Category	<hmi></hmi>
Validation Method	<shadow mode=""><real simulation="" time=""></real></shadow>
Verification Method	

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[REQ Trace]			
Rela ionship	Linked Element Type	Identifier	Compliance
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<applied_in_environment></applied_in_environment>	<environment class=""></environment>	Secondary Node	N/A

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2442 6.6 Information Exchange Requirements

2443

The services defined by this OSED do not involve exchange of information between actors hence no IERs are identified.

2446



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2447 **7 References**

- 2448 7.1 Applicable Documents
- 2449 [1] P06.02 D122 Airport Step 1 DOD 2014 update V00.01.01.doc 31/03/2015.
- 2450 [2] P06.02 D105 Airport Step1 VALS 2014 update V00.01.00.doc 18/03/2015.
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2452 7.2 Reference Documents

- 2453 The following documents were used to provide input/guidance/further information/other:
- 2454 **[3]** EUROCAE ED-78A Guidelines for Approval of the provision and use of Air Traffic Services 2455 supported by Data Communications December 2000.
- 2456 [4] ICAO Document 9694 First Edition 1999.
- 2457[5] D19-SESAR V3 Validation Report for "Conflicting ATC Clearances" V00.01.01 dated245817/05/2013.
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 [9] D149–SESAR P06.03.01 Consolidated DEL Release 5 Validation Report (with 06.09.02

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- 2467[10] D77-SESAR P06.07.01 Final OSED for Alerts for Vehicle Drivers following V3 trial V00.01.002468Dated 30/04/2016.
- 2469[11] D39-SESAR P09.14 Updated OSED for "Conformance Monitoring for pilots" following V22470trials V00.01.01 Dated 31/03/2016.
- 2471 [12]D75–SESAR P06.03.01 D75 6.3.2 Release 3 Validation Report V00.01.00 14/05/2015.
- 2472 [13] ICAO (Doc. 9830) A-SMGCS Manual First Edition, ICAO Montreal, Canada 2004.
- [14] EUROCAE Doc ED-87C MASPS for Advanced Surface Movement Guidance and Control
 Systems (A-SMGCS) Levels 1 & 2 Including Amendment N°1 January 2009.
- 2475[15] D15-SESAR V2 Validation Report for "Conflicting ATC Clearances" V00.01.00 dated247620/01/2012.
- [16]D05 SESAR P06.07.01 Operational Concept Document (OCD) V00.01.02 Dated 19/10/2016
 [17]D28 SESAR P06.07.01 OSED for Conflicting ATC Clearances" and "Conformance Monitoring
- for Controllers V00.01.01 Dated 07/01/2014
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